

BILIPSCHITZ EXTENDABILITY IN THE PLANE

Pekka Alestalo

Dmitry A. Trotsenko



BILIPSCHITZ EXTENDABILITY IN THE PLANE

Pekka Alestalo

Dmitry A. Trotsenko

Pekka Alestalo, Dmitry A. Trotsenko: *Bilipschitz extendability in the plane;* Helsinki University of Technology, Institute of Mathematics, Research Reports A507 (2006).

Abstract: *We give a geometric characterization for a plane set $A \subset \mathbf{R}^2$ to have the following linear bilipschitz extension property: For $0 \leq \varepsilon \leq \delta$, every $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^2$ has a $(1 + C\varepsilon)$ -bilipschitz extension to the whole plane \mathbf{R}^2 .*

AMS subject classifications: 30C65

Keywords: bilipschitz, extension

Correspondence

pekka.alestalo@tkk.fi, trotsenk@math.nsc.ru

ISBN 951-22-8326-3
ISSN 0784-3143

Helsinki University of Technology
Department of Engineering Physics and Mathematics
Institute of Mathematics
P.O. Box 1100, 02015 HUT, Finland
email:math@hut.fi <http://www.math.hut.fi/>

1 Introduction

1.1. Let A be a subset of the euclidean n -space \mathbf{R}^n and let $L \geq 1$. A map $f: A \rightarrow \mathbf{R}^n$ is L -bilipschitz if

$$|x - y|/L \leq |fx - fy| \leq L|x - y|$$

for all $x, y \in A$.

In general, an L -bilipschitz map $f: A \rightarrow \mathbf{R}^n$ cannot be extended to a bilipschitz map $F: \mathbf{R}^n \rightarrow \mathbf{R}^n$, not even to a homeomorphism, but this is often possible in the case the bilipschitz constant L is close to 1.

1.2. Let Φ be the set of increasing homeomorphisms $\varphi: \mathbf{R}^+ \rightarrow \mathbf{R}^+$. If $\varphi \in \Phi$ and $\delta > 0$, we say that a set $A \subset \mathbf{R}^n$ has the (φ, δ) -bilipschitz extension property, (φ, δ) -BLEP for short, if for $0 \leq \varepsilon \leq \delta$, every $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^n$ has an extension to a $(1 + \varphi(\varepsilon))$ -bilipschitz map $F: \mathbf{R}^n \rightarrow \mathbf{R}^n$. We say that a set $A \subset \mathbf{R}^n$ belongs to the class φ -BLEP if it has the (φ, δ) -BLEP for some $\delta > 0$. In the case $\varphi(\varepsilon) = C\varepsilon$ we say that A has the (C, δ) -linear BLEP.

It was shown in [ATV2] that a set $A \subset \mathbf{R}^n$ has (C, δ) -linear BLEP if it satisfies a geometric condition called sturdiness; see 2.2 for the definition. In this article we prove that the converse is true in the 2-dimensional case. More precisely, we obtain the following theorem.

1.3. Theorem. *Let $A \subset \mathbf{R}^2$ contain at least three points. Then the following assertions are quantitatively equivalent:*

- (1) A is c -sturdy.
- (2) A has the (C, δ) -linear BLEP.

Here quantitative equivalence means that C and δ depend only on c , and conversely, $c = c(C, \delta)$.

The proof is given in section 4.3. Note that a set $A \subset \mathbf{R}^n$ consisting of at most two points has the 1-linear BLEP but it is sturdy only in the cases $n = 1$ or $\#A = 1$.

For extension problems in higher dimensions and with more general bounds for the bilipschitz constant, see [Vä] and the references in [ATV2].

Acknowledgements: We thank Antti Rasila for his help in drawing the figure in Section 3, and Jussi Väisälä for useful remarks and corrections concerning the whole manuscript.

2 Basic concepts

Notation follows closely our main reference [ATV2] and will not be repeated here except for the abbreviation $A(a, r) = A \cap \bar{B}(a, r)$.

However, we recall three geometric properties of sets that are needed in our main result.

2.1. *Thickness.* For each unit vector $e \in S^{n-1}$ we define the projection $\pi_e: \mathbf{R}^n \rightarrow \mathbf{R}$ by $\pi_e x = x \cdot e$. Let $A \neq \emptyset$ be a bounded set in \mathbf{R}^n . The *thickness* of A is the number

$$\theta(A) = \inf \{d(\pi_e A) : e \in S^{n-1}\}.$$

Alternatively, $\theta(A)$ is the infimum of all $t > 0$ such that A lies between two parallel hyperplanes F, F' with $d(F, F') = t$. We have always $0 \leq \theta(A) \leq d(A)$.

2.2. *Sturdiness.* Let $A \subset \mathbf{R}^n$. For $a \in A$ we set $s(a) = s_A(a) = d(a, A \setminus \{a\})$. Then $s(a) > 0$ if and only if a is isolated in A .

Let $c \geq 1$. We say that the set $A \subset \mathbf{R}^n$ is *c-sturdy* if

- (1) $\theta(A(a, r)) \geq 2r/c$ whenever $a \in A$, $r \geq cs(a)$, $A \not\subset B(a, r)$,
- (2) $\theta(A) \geq d(A)/c$.

If A is unbounded, we omit (2), and the condition $A \not\subset B(a, r)$ of (1) is unnecessary.

2.3. *Relative connectivity* [TV, 4.6]. Let $A \subset \mathbf{R}^n$ and $M \geq 1$. A sequence $(x_0, x_1, \dots, x_{N-1}, x_N)$ is proper if $x_{j-1} \neq x_j$ for all j . A sequence $(x_0, x_1, \dots, x_{N-1}, x_N)$ in A is *M-relative* in A if it is proper and

$$|x_{j-1} - x_j|/M \leq |x_j - x_{j+1}| \leq M|x_{j-1} - x_j|$$

for all j . Such a sequence is said to join the pairs (x_0, x_1) and (x_{N-1}, x_N) . The set A is *M-relatively connected* (abbr. RC) if every two proper pairs in A can be joined by an *M-relative* sequence in A .

The simplest examples of relatively connected sets are the connected ones, but also many totally disconnected sets like the Cantor middle-third set satisfy the RC-condition.

2.4. **Lemma.** *Let $A \subset \mathbf{R}^n$ be a closed c-sturdy set. Then A is c_1 -RC for every $c_1 > c$.*

Proof. Let $a \in A$ and $r > 0$. Let $c_1 > c$ and assume that $A \cap \bar{B}(a, r) \neq \{a\}$ and $A \not\subset B(a, r)$. If $R(a, r) = \{x \in A \mid r/c_1 \leq |x - a| \leq r\} = \emptyset$, then $\theta(A(a, r)) \leq \theta(\bar{B}(a, r/c_1)) \leq 2r/c_1 < 2r/c$, a contradiction with the *c-sturdiness* of A . It follows that, under the above assumptions, $R(a, r) \neq \emptyset$, and by [TV, 4.11], this implies the claim. \square

2.5. *Linear isometric approximation property.* Let $A \subset \mathbf{R}^n$. We say that A has the (C, δ) -linear isometric approximation property (IAP) if given $0 < \varepsilon \leq \delta$, a $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^n$, a point $a \in A$ and $r > 0$, there is an isometry $T = T_{a,r}: \mathbf{R}^n \rightarrow \mathbf{R}^n$ such that

$$|Tx - f(x)| \leq C\varepsilon r$$

for all $x \in A \cap \bar{B}(a, r)$.

2.6. Theorem. *Suppose that a set $A \subset \mathbf{R}^n$ has the (C, δ) -linear BLEP. Then it has the (C_1, δ) -linear IAP with $C_1 = C_1(C, n)$.*

Proof. Let $f: A \rightarrow \mathbf{R}^n$ be $(1 + \varepsilon)$ -bilipschitz with $0 < \varepsilon \leq \delta$. Suppose that $a \in A$ and $r > 0$. Since A has the (C, δ) -linear BLEP, there is a $(1 + C\varepsilon)$ -bilipschitz extension $F: \mathbf{R}^n \rightarrow \mathbf{R}^n$ of f . Let $F_{a,r} = F|_{\bar{B}(a,r)}$. Then $F_{a,r}$ is a $2C\varepsilon r$ -nearisometry and since $\theta(\bar{B}(a,r)) = d(\bar{B}(a,r))$, [ATV1, 3.3] gives an isometry $T = T_{a,r}: \mathbf{R}^n \rightarrow \mathbf{R}^n$ such that

$$\|T - F_{a,r}\|_{\bar{B}(a,r)} \leq 2c_n C \varepsilon r.$$

In particular, we have $|Tx - f(x)| \leq 2C c_n \varepsilon r$ for every $x \in A(a, r)$, and the proof is complete with $C_1 = 2c_n C$. \square

3 Triangle maps

Since we work with the planar case, we use complex numbers whenever it simplifies notation.

3.1. The basic triangle map $f: \{-1, 0, 1\} \rightarrow \mathbf{R}^2$ is defined by

$$f(\pm 1) = \pm 1 \quad \text{and} \quad f(0) = i\sqrt{\varepsilon}.$$

This map is $(1 + \varepsilon)$ -bilipschitz, but any approximation of f by an isometry T has an error at least $\sqrt{\varepsilon}/2$. This is seen by minimizing the distance from the image of f to the straight line $T\mathbf{R}$. The following elementary lemma generalizes this idea.

3.2. Lemma. *Let $0 \leq \delta \leq \delta' \leq 1/4$, let $A = \{-1, a, 1\} \subset \mathbf{R}^2$ be such that $\theta(A) = |a_2| \leq 2\delta$, and let $f: A \rightarrow \mathbf{R}^2$ satisfy $f(\pm 1) = \pm 1$ and $\theta(fA) = |f(a)_2| \geq 2\delta'$. If the disks $\bar{B}(\pm 1, \delta' - \delta)$ and $\bar{B}(f(a), \delta' + \delta)$ are disjoint, then every isometry $T: \mathbf{R}^2 \rightarrow \mathbf{R}^2$ satisfies $\|T - f\|_A \geq \delta' - \delta$.*

Proof. We emphasize that the conditions $\theta(A) = |a_2|$ and $\theta(fA) = |f(a)_2|$ belong to the assumptions. In particular, they imply that $-1 < a_1 < 1$ and $-1 < f(a)_1 < 1$ so that the situation is not too far from the basic map above.

Suppose that T is an isometry with $\|T - f\|_A < \delta' - \delta$ and let $L = T\mathbf{R}$. Writing $a' = (a_1, 0)$, we have

$$|Ta' - Ta| = |a' - a| = |a_2| \leq 2\delta.$$

If L does not meet the disk $B(f(a), \delta' + \delta)$, then

$$|Ta - f(a)| \geq |Ta' - f(a)| - |Ta' - Ta| \geq (\delta' + \delta) - 2\delta = \delta' - \delta,$$

a contradiction.

It follows that the line L meets all three disks $\bar{B}(\pm 1, \delta' - \delta)$ and $B(f(a), \delta' + \delta)$. By assumption, these disks are disjoint, and by elementary geometry we get

$$(\delta' - \delta) + (\delta' + \delta) > |f(a)_2| = \theta(fA) \geq 2\delta',$$

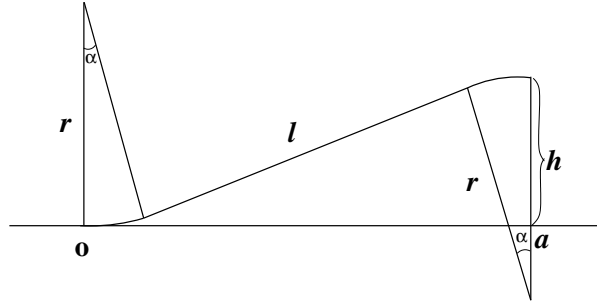
which leads to a contradiction. The result follows from this. \square

Later on we will need maps that are defined on a narrow neighbourhood of a line but that still possess the essential features of the basic triangle map: they should be $(1 + c\varepsilon)$ -bilipschitz but their approximation by isometries should produce an error of the order $\sqrt{\varepsilon}$. The following lemmas show how to construct these maps.

3.3. Lemma. *Let $0 \leq \varepsilon \leq 1/10$ and let $a, b \in [0, 1]$ be such that $2\varepsilon \leq a \leq b/2$. Then there is a C^2 function $f: \mathbf{R} \rightarrow \mathbf{R}$ satisfying*

- (i) $f(x) = 0$ for $x \leq 0$ and $x \geq b$;
- (ii) $f(a) = \varepsilon^{3/2}$;
- (iii) f is $2\sqrt{\varepsilon}$ -Lipschitz;
- (iv) the curvature K of the graph $y = f(x)$ satisfies $K \leq 1/\sqrt{\varepsilon}$.

Proof. Let $0 < o < a$ and consider first the interval $[o, a]$. One should think that $o \approx 0$, but we need $o > 0$ for technical reasons. Let $r = \sqrt{\varepsilon}$. The graph $y = f(x)$ consists of two circular arcs and a line segment. The construction is based on the diagram below, where also the notation is indicated.



Part of the graph $y = f(x)$ with $h = \varepsilon\sqrt{\varepsilon}$.

By elementary geometry the variables l and α must satisfy

$$\begin{cases} 2r \sin \alpha + l \cos \alpha = a - o \\ 2r(1 - \cos \alpha) + l \sin \alpha = \varepsilon^{3/2}, \end{cases}$$

and this system has the exact solution

$$l = \sqrt{(a - o)^2 - 4\varepsilon^2 + \varepsilon^3}, \quad \alpha = \arcsin(\sqrt{\varepsilon}(2(a - o) + l\varepsilon - 2l)/(l^2 + 4\varepsilon)).$$

The Lipschitz condition requires that $\tan \alpha \leq 2\sqrt{\varepsilon}$. It is geometrically obvious that α is decreasing in a , and thus α attains its maximum at $a = 2\varepsilon$.

By substituting this value and choosing o small enough, we obtain $\alpha \leq \arcsin \sqrt{\varepsilon} \leq \arctan(2\sqrt{\varepsilon})$.

A similar construction is used on the interval $[a, b]$, and outside $[o, b - o]$ we define $f(x) = 0$. This function satisfies conditions (i)-(iv), but it is only piecewise C^2 . However, at the six points where a circular arc is joined either to another arc or to a line segment, we use standard smoothing by clothoids (aka Cornu spirals), in an arbitrarily small neighbourhood of each joint, in such a way that the Lipschitz constant does not change, the curvature stays between the appropriate bounds, and the support of f does not expand outside $[0, b]$; see [Ad, p. 636] for the basic construction. \square

Using the following lemma we can construct tubular neighbourhood extensions for mappings of the type $x \mapsto (x, f(x))$.

3.4. Lemma. *Let $0 < \varepsilon < 1/10$, let $I \subset \mathbf{R}$ be an interval and let $f: I \rightarrow \mathbf{R}$ be $\sqrt{\varepsilon}$ -Lipschitz and C^2 . Define $F: I \times [-\delta, \delta] \rightarrow \mathbf{R}^2$ by setting*

$$F(x, y) = x + if(x) + y\mathbf{n}(x),$$

where $\mathbf{n}(x)$ is the upper unit normal to the graph $y = f(x)$. Let K be the maximal curvature of $y = f(x)$. If $K\delta \leq \varepsilon$, then F is $(1 + 4\varepsilon)$ -bilipschitz. Moreover, if $f(x) = 0$ except for a subinterval of length l , then $|F(z) - z| \leq \sqrt{\varepsilon}l + \delta$ for every $z \in I \times [-\delta, \delta]$.

Proof. Let $z_i = (x_i, y_i) \in I \times [-\delta, \delta]$, $i = 1, 2$. Note that

$$|y| \leq \delta, \quad |f'(x)| \leq \sqrt{\varepsilon} \quad \text{and} \quad \frac{|f''(x)|}{(1 + f'(x)^2)^{3/2}} \leq K$$

for all (x, y) .

In complex form we have

$$\mathbf{n}(x) = \frac{1}{\sqrt{1 + f'(x)^2}}(-f'(x) + i).$$

Thus

$$\begin{aligned} |F(z_1) - F(z_2)|^2 &= |x_1 - x_2|^2 + \left| \frac{y_1 f'(x_1)}{\sqrt{1 + f'(x_1)^2}} - \frac{y_2 f'(x_2)}{\sqrt{1 + f'(x_2)^2}} \right|^2 \\ &\quad + |f(x_1) - f(x_2)|^2 + \left| \frac{y_1}{\sqrt{1 + f'(x_1)^2}} - \frac{y_2}{\sqrt{1 + f'(x_2)^2}} \right|^2 \\ &\quad - 2(x_1 - x_2) \left(\frac{y_1 f'(x_1)}{\sqrt{1 + f'(x_1)^2}} - \frac{y_2 f'(x_2)}{\sqrt{1 + f'(x_2)^2}} \right) \\ &\quad + 2(f(x_1) - f(x_2)) \left(\frac{y_1}{\sqrt{1 + f'(x_1)^2}} - \frac{y_2}{\sqrt{1 + f'(x_2)^2}} \right). \end{aligned}$$

Writing the right hand side above as $|x_1 - x_2|^2 + t_1 + t_2 + t_3 + t_4$, where t_4 contains the last two terms, we have to estimate each term. Since F is defined in a convex set, we can use the mean value theorem.

(i) To estimate t_1 , let $g(x, y) = yf'(x)/\sqrt{1 + f'(x)^2}$. Then

$$|\nabla g|^2 = \frac{y^2 f''(x)^2}{(1 + f'(x)^2)^3} + \frac{f'(x)^2}{1 + f'(x)^2} \leq \delta^2 K^2 + \varepsilon \leq 2\varepsilon,$$

which implies that $t_1 \leq 2\varepsilon|z_1 - z_2|^2$.

(ii) The upper bound $t_2 \leq \varepsilon|x_1 - x_2|^2$ follows from the Lipschitz condition.

(iii) We need both upper and lower bounds for t_3 . Applying the mean value theorem for $h(x, y) = y/\sqrt{1 + f'(x)^2}$, we get

$$t_3 = \left(-\frac{f'(u)f''(u)v}{(1 + f'(u)^2)^{3/2}}(x_1 - x_2) + \frac{1}{\sqrt{1 + f'(u)^2}}(y_1 - y_2) \right)^2$$

where (u, v) lies on the segment $[z_1, z_2]$. Using the estimate

$$2\varepsilon^{3/2}|x_1 - x_2||y_1 - y_2| \leq 2\varepsilon|x_1 - x_2||y_1 - y_2| \leq \varepsilon|x_1 - x_2|^2 + \varepsilon|y_1 - y_2|^2,$$

it follows that

$$\begin{aligned} t_3 &\leq \varepsilon K^2 \delta^2 |x_1 - x_2|^2 + \frac{1}{1 + f'(u)^2} |y_1 - y_2|^2 + 2\sqrt{\varepsilon} K \delta |x_1 - x_2||y_1 - y_2| \\ &\leq \varepsilon^3 |x_1 - x_2|^2 + |y_1 - y_2|^2 + \varepsilon |x_1 - x_2|^2 + \varepsilon |y_1 - y_2|^2 \\ &\leq 2\varepsilon |x_1 - x_2|^2 + (1 + \varepsilon) |y_1 - y_2|^2. \end{aligned}$$

In the opposite direction, we have

$$\begin{aligned} t_3 &\geq \frac{1}{1 + \varepsilon} |y_1 - y_2|^2 - 2\sqrt{\varepsilon} K \delta |x_1 - x_2||y_1 - y_2| \\ &\geq (1 - 2\varepsilon) |y_1 - y_2|^2 - \varepsilon |x_1 - x_2|^2. \end{aligned}$$

(iv) Rearranging and using the Taylor formula, we have

$$\begin{aligned} t_4 &= \frac{2y_1}{\sqrt{1 + f'(x_1)^2}} (f(x_1) - f(x_2) - f'(x_1)(x_1 - x_2)) \\ &\quad + \frac{2y_2}{\sqrt{1 + f'(x_2)^2}} (f'(x_2)(x_1 - x_2) - f(x_1) + f(x_2)) \\ &= \left(\frac{y_1 f''(\xi_1)}{\sqrt{1 + f'(x_1)^2}} - \frac{y_2 f''(\xi_2)}{\sqrt{1 + f'(x_2)^2}} \right) |x_1 - x_2|^2, \end{aligned}$$

where $\xi_1, \xi_2 \in [x_1, x_2]$. Since $|f''(\xi)| \leq K(1 + \varepsilon)^{3/2}$, this implies that

$$|t_4| \leq 2K\delta(1 + \varepsilon)^{3/2} |x_1 - x_2|^2 \leq 3\varepsilon |x_1 - x_2|^2.$$

Using these estimates we obtain

$$\begin{aligned}
|F(z_1) - F(z_2)|^2 &\leq |x_1 - x_2|^2 + 2\varepsilon|x_1 - x_2|^2 + 2\varepsilon|y_1 - y_2|^2 + \varepsilon|x_1 - x_2|^2 \\
&\quad + 2\varepsilon|x_1 - x_2|^2 + (1 + \varepsilon)|y_1 - y_2|^2 + 3\varepsilon|x_1 - x_2|^2 \\
&= (1 + 8\varepsilon)|x_1 - x_2|^2 + (1 + 3\varepsilon)|y_1 - y_2|^2,
\end{aligned}$$

so that $|F(z_1) - F(z_2)| \leq \sqrt{1 + 8\varepsilon}|z_1 - z_2| \leq (1 + 4\varepsilon)|z_1 - z_2|$.

For the lower bound, we discard irrelevant positive terms and get

$$\begin{aligned}
|F(z_1) - F(z_2)|^2 &\geq |x_1 - x_2|^2 + t_3 - |t_4| \\
&\geq (1 - 4\varepsilon)|x_1 - x_2|^2 + (1 - 2\varepsilon)|y_1 - y_2|^2 \\
&\geq (1 - 4\varepsilon)|z_1 - z_2|^2.
\end{aligned}$$

This implies that $|F(z_1) - F(z_2)| \geq \sqrt{1 - 4\varepsilon}|z_1 - z_2| \geq |z_1 - z_2|/(1 + 4\varepsilon)$.

The proof for the bilipschitz condition is now complete, and the last inequality is obvious. \square

3.5. Lemma. *Let $A \subset \mathbf{R}^n$ and let $\varepsilon \leq 1/10$. Suppose that $a \in A$, $r > 0$ and let $f: A \rightarrow \mathbf{R}^n$ be $(1 + \varepsilon)$ -bilipschitz such that $|f(z) - z| \leq \varepsilon r$ whenever $|z - a| \leq r/2$ and $f(z) = z$ for $|z - a| \geq r/2$. Define $F: A \cup (\mathbf{R}^n \setminus B(a, r)) \rightarrow \mathbf{R}^n$ by setting*

$$F(z) = \begin{cases} f(z) & \text{for } z \in A, \\ z & \text{for } |z - a| \geq r. \end{cases}$$

Then F is $(1 + 3\varepsilon)$ -bilipschitz.

Proof. Let $z_1 \in A \cap B(a, r/2)$ and $|z_2 - a| \geq r$. Then $|z_1 - z_2| \geq r/2$, which implies that

$$\begin{aligned}
|F(z_1) - F(z_2)| &= |f(z_1) - z_2| \leq |f(z_1) - z_1| + |z_1 - z_2| \leq \varepsilon r + |z_1 - z_2| \\
&\leq (1 + 2\varepsilon)|z_1 - z_2|.
\end{aligned}$$

In the opposite direction, we have

$$\begin{aligned}
|F(z_1) - F(z_2)| &= |f(z_1) - z_2| \geq |z_1 - z_2| - |f(z_1) - z_1| \geq |z_1 - z_2| - \varepsilon r \\
&\geq (1 - 2\varepsilon)|z_1 - z_2| \geq |z_1 - z_2|/(1 + 3\varepsilon),
\end{aligned}$$

since $\varepsilon \leq 1/10$.

All other cases for z_1, z_2 are trivial, and the proof is complete. \square

Finally, we need an estimate on the distortion of angles under bilipschitz maps.

3.6. Lemma. *Let $1 < t \leq 2$ and let $f: \{0, 1, t\} \rightarrow \mathbf{R}^n$ be $(1 + \varepsilon)$ -bilipschitz with $\varepsilon \leq 1/100$. Let $A = f(0), B = f(1), C = f(t)$ and $\alpha = \angle BAC$. Then $\alpha \leq 2.1\sqrt{\varepsilon}$.*

Proof. Consider the triangle with vertices A, B, C . Elementary geometrical considerations show that α is maximal in the case $AB = 1 + \varepsilon$, $BC = (t - 1)(1 + \varepsilon)$, and $AC = t/(1 + \varepsilon)$. Using trigonometry and Taylor approximation we obtain

$$\sin \alpha \leq 2\sqrt{(t-1)\varepsilon} \leq 2\sqrt{\varepsilon} \leq 0.2.$$

Furthermore, for these values we have $\alpha \leq 1.01 \sin \alpha \leq 2.1\sqrt{\varepsilon}$, and the proof is complete. \square

4 Main proofs

We use triangle maps to prove the following theorem, which constitutes the first part of our main result.

4.1. Theorem. *Let $\lambda \geq 1$, $c > (30\lambda)^8$, and let $A \subset \mathbf{R}^2$ be λ -relatively connected but not c -sturdy. Then for $1/\sqrt{c} \leq \varepsilon \leq 1/(30\lambda)^4$ there is a $(1 + 48\varepsilon)$ -BL map $f: A \rightarrow \mathbf{R}^2$ with the following property: there are $a \in A$ and $r > 0$ such that*

$$\|T - f\|_{A(a,r)} \geq (r/6000\lambda^3)\sqrt{\varepsilon}$$

for all isometries $T: \mathbf{R}^2 \rightarrow \mathbf{R}^2$.

Proof. Since A is not $(1/\varepsilon^2)$ -sturdy, there are two possibilities.

Case 1: Condition 2.2(1) is not satisfied. In this case there are $a \in A$ and $r > 0$ such that $A \not\subset B(a, r)$, $s(a) \leq \varepsilon^2 r$ and $\theta(A(a, r)) \leq 2\varepsilon^2 r$. By scaling, we may assume that $a = 0$, $r = 1$, and then $A \not\subset B(1) = B(0, 1)$, $s(0) \leq \varepsilon^2$, $\theta(A(0, 1)) \leq 2\varepsilon^2$. Furthermore, we may assume that $A(0, 1)$ is contained in the $2\varepsilon^2$ -neighbourhood of $\mathbf{R} \subset \mathbf{R}^2$.

We apply [TV, 4.11(2)] with $c = 4\lambda$ to find points $u, v \in A$ as follows. Since $s(0) \leq \varepsilon^2 < \varepsilon$, the set $A(0, 2\varepsilon)$ contains at least two points. Also $A \not\subset B(1)$, and thus there is a point $u \in A \cap B(8\lambda\varepsilon) \setminus B(2\varepsilon)$. Similarly, since $80\lambda^2\varepsilon \leq 1$, there is $v \in A \cap B(80\lambda^2\varepsilon) \setminus B(20\lambda\varepsilon)$. There are six possibilities for the order of the points $0, u_1, v_1$ and of these only two are essentially different; we consider the case where $0 < u_1 < v_1 < 1$, the other cases being similar. However, the constants appearing below apply for all cases and may thus seem unnecessarily large for this special case.

We construct a bilipschitz map $f: A \rightarrow \mathbf{R}^2$ as follows:

- Apply Lemma 3.3 with substitutions $0 \mapsto 0$, $a \mapsto u_1$, $b \mapsto v_1$. This gives a $2\sqrt{\varepsilon}$ -Lipschitz map $f_1: \mathbf{R} \rightarrow \mathbf{R}$ such that $f_1(x) = 0$ if $x \notin [0, v_1]$, $f_1(u_1) = \varepsilon^{3/2}$, and $K \leq 1/\sqrt{\varepsilon}$.
- Apply Lemma 3.4 with $\varepsilon \mapsto 4\varepsilon$, $\delta \mapsto 2\varepsilon^2$, $I \mapsto \mathbf{R}$ and $f \mapsto f_1$. Then $K\delta \leq 2\varepsilon^{3/2} \leq 4\varepsilon$, and the resulting map $F: \mathbf{R} \times [-\delta, \delta] \rightarrow \mathbf{R}^2$ is $(1 + 16\varepsilon)$ -BL. Also, we have $l \leq 160\lambda^2\varepsilon$ and therefore

$$|F(z) - z| \leq 160\lambda^2\varepsilon^{3/2} + 2\varepsilon^2 < \varepsilon$$

for all z .

- We extend the definition of F outside $B(1)$ by $F(z) = z$. Substitute $\varepsilon \mapsto 16\varepsilon$ and $r = 1/2$ in Lemma 3.5. Since $160\lambda^2\varepsilon \leq r/2$, we have $|F(z) - z| \leq \varepsilon \leq 16\varepsilon r$ for $|z| \leq r/2$ and $F(z) = z$ for $|z| \geq r/2$. It follows that F is $(1 + 48\varepsilon)$ -BL.
- The domain of definition for F contains the set A and by restriction we get the required $(1 + 48\varepsilon)$ -BL map $f: A \rightarrow \mathbf{R}^2$.

It remains to show that f cannot be well approximated by isometries. For this it suffices to consider the restriction $f|_{\{0, u, v\}}$ in the disk $B = \bar{B}(0, r_1)$, where $r_1 = 160\lambda^2\varepsilon$. Let $A' = \{0, u, v\}$ and let $h: \mathbf{R}^2 \rightarrow \mathbf{R}^2$ be a similarity such that $h(0) = -1, h(v) = 1$ and let $g = hfh^{-1}: hA' \rightarrow hfA'$. Since $f(0) = 0, f(v) = v$, Lemma 3.2 can be applied to g . The similarity ratio t of h satisfies $1/80\lambda^2\varepsilon \leq t \leq 1/10\lambda\varepsilon$, and thus $\theta(hA') \leq 2\varepsilon^2/10\lambda\varepsilon = \varepsilon/5\lambda$ and $\theta(ghA') \geq (\varepsilon^{3/2} - 2\varepsilon^2)/160\lambda^2\varepsilon > \sqrt{\varepsilon}/162\lambda^2$. Thus the error of approximation of g by an isometry is at least

$$\sqrt{\varepsilon}/324\lambda^2 - \varepsilon/10\lambda \geq \sqrt{\varepsilon}/340\lambda^2,$$

and therefore

$$\|T - f\|_{A(0, r_1)} \geq 10\lambda\varepsilon(\sqrt{\varepsilon}/340\lambda^2) = \varepsilon^{3/2}/34\lambda = \frac{r_1}{6000\lambda^3}\sqrt{\varepsilon}$$

for all isometries T . This completes the proof for Case 1.

Case 2: Condition 2.2(2) is not satisfied. This implies that A is bounded and $\theta(A) < \varepsilon^2 d(A)$. Using λ -relative connectedness, we can find points $a, b, c \in A$ such that $1 \leq |a - b|/|b - c| \leq \lambda$. Using Lemmas 3.3 and 3.4, we can construct a map $f: A \rightarrow \mathbf{R}^2$ that by 3.2 contradicts the requirements. The details are similar to Case 1 and are omitted.

This completes the proof. \square

4.2. Theorem. *Let $\lambda \geq 1000$, let $A \subset \mathbf{R}^n$ be a closed set that is not λ -relatively connected. Then there is $\varepsilon \leq 2/(\lambda - 2)$ and a $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^n$ with the following property: If $F: \mathbf{R}^n \rightarrow \mathbf{R}^n$ is a $(1 + \delta)$ -bilipschitz extension of f , then*

$$\delta \geq 1/20 \ln^2 \varepsilon.$$

Proof. We use the concept of upper sets from [TV, 4.9]. Since A is not λ -relatively connected, the upper set \tilde{A} consists of more than one $\ln \lambda$ -component. Let γ be a $\ln \lambda$ -component that is not the greatest element; see [TV, 3.2]. By [TV, 3.4(11) and 3.4(14)] the set $\pi\gamma$ is compact, and by [TV, 3.4(12)] we have $A \cap B(\pi\gamma, (\lambda - 1)d(\pi\gamma)) = \pi\gamma$. Choose $a, b \in \pi\gamma$ such that $|a - b| = d(\pi\gamma)$ and then $z \in A \setminus \pi\gamma$ such that $d(z, \pi\gamma)$ is minimal. We may assume that $|b - z| \leq |a - z|$, and hence $\angle abz \geq \pi/3$. Using suitable similarities, we may assume that $b = 0, |a - b| = 1$ and $z = te_1$ with $t \geq \lambda - 1$.

We choose $\varepsilon = 2/(t - 1) \leq 2/(\lambda - 2) < 0.01$ and construct a $(1 + \varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^n$ as follows. Let $f|_{(A \setminus B(0, 1))} = \text{id}$, and let f

rotate $\bar{B}(0, 1)$ so that $f(0) = 0$ and $f(a) = e_1$. To calculate the bilipschitz constant L of f , we note that the worst case arises from $a = -e_1$, $f(a) = e_1$; this seems geometrically obvious and can be proved by solving an elementary extremal value problem. Thus

$$L \leq \frac{t+1}{t-1} = 1 + \frac{2}{t-1} = 1 + \varepsilon.$$

Suppose now that f can be extended to a $(1+\delta)$ -bilipschitz map $F: \mathbf{R}^n \rightarrow \mathbf{R}^n$. We apply Lemma 3.6 to the map $F^{-1} | \{0, e_1, 2e_1, 4e_1, \dots, 2^N e_1, z\}$, where $N = \lfloor \log_2 t \rfloor$. Let $a_i = F^{-1}(2^i e_1)$ for $i = 0, 1, 2, \dots, N$ and $a_{N+1} = z$. The lemma implies that $\angle a_i 0 a_{i+1} \leq 2.1\sqrt{\delta}$, and therefore

$$\begin{aligned} 1 &\leq \frac{\pi}{3} \leq \angle a 0 z \leq \sum_{i=0}^N \angle a_i 0 a_{i+1} \leq 2.1\sqrt{\delta}(N+1) \leq 2.1\sqrt{\delta}(\log_2 t + 1) \\ &\leq 2.1\sqrt{\delta}(1.5 \ln t + 1) \leq 3.15\sqrt{\delta} \ln(2t). \end{aligned}$$

Since $t = 2/\varepsilon + 1 \leq 2.1/\varepsilon$, we obtain

$$\delta \geq \frac{1}{10 \ln^2(4.2/\varepsilon)} \geq \frac{1}{20 \ln^2 \varepsilon}.$$

This completes the proof. \square

4.3. *Proof of Theorem 1.3.* The implication (1) \Rightarrow (2) was the main result of [ATV2].

For the converse part, suppose that A has the (C, δ) -linear BLEP. Let $\lambda \geq 2/\delta + 2$ so that $\varepsilon = 2/(\lambda - 2) \leq \delta$ and suppose that A is not λ -RC. We may assume that $\lambda \geq 1000$. Let $f: A \rightarrow \mathbf{R}^2$ be the $(1 + \varepsilon)$ -bilipschitz map given by Theorem 4.2. Since A has the (C, δ) -linear BLEP, we have

$$C\varepsilon \geq \frac{1}{20 \ln^2 \varepsilon}.$$

This leads to a contradiction unless $\varepsilon \geq \varepsilon(C) > 0$, which is equivalent to $\lambda \leq \lambda(C, \delta) < \infty$.

It follows that A is λ -relatively connected with the above bound.

By Theorem 2.6 the set A has the (C_1, δ) -IAP with $C_1 = C_1(C)$. Supposing that A is not c -sturdy, we must find an upper bound for c , and may thus assume that $c > (30\lambda)^8 \vee 48^2/\delta^2$. Let $\varepsilon = 1/\sqrt{c}$ so that $48\varepsilon \leq \delta$. Applying Theorem 4.1, we obtain a $(1 + 48\varepsilon)$ -bilipschitz map $f: A \rightarrow \mathbf{R}^2$ such that

$$\|T - f\|_{A(a,r)} \geq (r/6000\lambda^3)\sqrt{\varepsilon}$$

for some $a \in A$, $r > 0$ and for all isometries T . The IAP of A thus leads to the estimate

$$C_1 \cdot 48\varepsilon \geq \sqrt{\varepsilon}/6000\lambda^3,$$

which is a contradiction unless $\varepsilon \geq \varepsilon(C, \lambda)$, or equivalently, unless $c \leq c(C, \delta)$.

It follows that A is c -sturdy with the above bound, and the proof of the main theorem is complete. \square

4.4. *Remark.* The first part of the above proof can be easily modified to show that a planar set A having the φ -BLEP is relatively connected if

$$\lim_{\varepsilon \rightarrow 0} \varphi(\varepsilon) \ln^2 \varepsilon = 0.$$

References

- [Ad] R.A. Adams, *Calculus: a complete course*. 6th Ed. Pearson Addison Wesley, 2006.
- [ATV1] P. Alestalo, D.A. Trotsenko, J. Väisälä, Isometric approximation. *Israel J. Math.* 125, 2001, 61–82.
- [ATV2] P. Alestalo, D.A. Trotsenko, J. Väisälä, Linear bilipschitz extension property. *Sibirsk. Mat. Zh.* 44, 2003, 1226–1238. Translation in *Siberian Math. J.* 44, 2003, 959–968.
- [TV] D.A. Trotsenko, J. Väisälä, Upper sets and quasisymmetric maps. *Ann. Acad. Sci. Fenn. Math.* 24, 1999, 465–488.
- [Vä] J. Väisälä, Bilipschitz and quasisymmetric extension properties. - *Ann. Acad. Sci. Fenn. Math.* 11, 1986, 239–274.

Pekka Alestalo
Matematiikan laitos
Teknillinen korkeakoulu
PL 1100
02015 TKK, Finland
pekka.alestalo@tkk.fi

Dmitry A. Trotsenko
Institut Matematiki SO RAN
Koptjuga prospekt 4
630090 Novosibirsk, Russia
trotsenk@trotsenk.nsc.ru

(continued from the back cover)

- A501 Marina Sirviö
On an inverse subordinator storage
June 2006
- A500 Outi Elina Maasalo , Anna Zatorska-Goldstein
Stability of quasiminimizers of the p -Dirichlet integral with varying p on metric spaces
April 2006
- A499 Mikko Parviainen
Global higher integrability for parabolic quasiminimizers in nonsmooth domains
April 2005
- A498 Marcus Ruter , Sergey Korotov , Christian Steenbock
Goal-oriented Error Estimates based on Different FE-Spaces for the Primal and the Dual Problem with Applications to Fracture Mechanics
March 2006
- A497 Outi Elina Maasalo
Gehring Lemma in Metric Spaces
March 2006
- A496 Jan Brandts , Sergey Korotov , Michal Krizek
Dissection of the path-simplex in \mathbf{R}^n into n path-subsimplices
March 2006
- A495 Sergey Korotov
A posteriori error estimation for linear elliptic problems with mixed boundary conditions
March 2006
- A494 Antti Hannukainen , Sergey Korotov
Computational Technologies for Reliable Control of Global and Local Errors for Linear Elliptic Type Boundary Value Problems
February 2006
- A493 Giovanni Formica , Stefania Fortino , Mikko Lyly
A *vartheta* method-based numerical simulation of crack growth in linear elastic fracture
February 2006

HELSINKI UNIVERSITY OF TECHNOLOGY INSTITUTE OF MATHEMATICS
RESEARCH REPORTS

The list of reports is continued inside. Electronical versions of the reports are available at <http://www.math.hut.fi/reports/> .

- A506 Sergey Korotov
Error control in terms of linear functionals based on gradient averaging techniques
July 2006
- A505 Jan Brandts , Sergey Korotov , Michal Krizek
On the equivalence of regularity criteria for triangular and tetrahedral finite element partitions
July 2006
- A504 Janos Karatson , Sergey Korotov , Michal Krizek
On discrete maximum principles for nonlinear elliptic problems
July 2006
- A503 Jan Brandts , Sergey Korotov , Michal Krizek , Jakub Solc
On acute and nonobtuse simplicial partitions
July 2006
- A502 Vladimir M. Miklyukov , Antti Rasila , Matti Vuorinen
Three spheres theorem for p -harmonic functions
June 2006

ISBN 951-22-8326-3

ISSN 0784-3143