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## Dynamical Quantum Groups

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# 1 Introduction

The *quantum Yang-Baxter equation* (QYBE) arises naturally in the setting of statistical mechanics and quantum field theory. It was proposed by Baxter as the star-triangle relation while studying the 8-vertex model and by Yang in the study of a quantum  $N$ -body problem.

As a simple example one can consider the state models on  $n \times m$  square lattices in  $\mathbb{Z}^2$ , then the matrix of *Boltzmann weights* satisfies the QYBE.

We can interpret the QYBE in a more algebraic setting, leading to the theory of quantum groups.

Consider  $V$  a vector space, we say that  $R \in \text{End}(V \otimes V)$  satisfies the QYBE if

$$R^{12}R^{13}R^{23} = R^{23}R^{13}R^{12}$$

in  $\text{End}(V \otimes V \otimes V)$ . A solution of the QYBE is called *R-matrix*.

The classical analogue of the QYBE is the classical Yang-Baxter equation (CYBE)

$$[r_{12}, r_{23}] + [r_{13}, r_{23}] + [r_{12}, r_{13}] = 0,$$

whose solutions are called the classical *r*-matrices. In this case one considers the theory of Poisson-Lie groups, a geometric interpretation given by Drinfeld [Dr].

Let  $R$  be an *R*-matrix, we can associate to it an algebraic structure (using the RTT construction) which is exactly a Hopf algebra such that the universal element of a quasitriangular structure is the given *R*-matrix. We call a quasitriangular Hopf algebra *quantum group*.

In the following report we will concentrate on a generalization of the QYBE, the *quantum dynamical Yang-Baxter equation* (QDYBE). The QDYBE was introduced by G. Felder [F] and he also considered its quasiclassical limit, the CDYBE.

Let  $\mathfrak{h}$  be a finite dimensional commutative Lie algebra over  $\mathbb{C}$ ,  $V$  a semisimple finite dimensional  $\mathfrak{h}$ -module and  $R : \mathfrak{h}^* \rightarrow \text{End}_{\mathfrak{h}}(V \otimes V)$  a meromorphic function, then the QDYBE of step  $\gamma$  reads:

$$R^{12}(\lambda - \gamma h^3)R^{13}(\lambda)R^{23}(\lambda - \gamma h^1) = R^{23}(\lambda)R^{13}(\lambda - \gamma h^2)R^{12}(\lambda),$$

where  $\gamma \in \mathbb{C}$  ( the notation is explained in section 3.1 ).

Similarly to the non-dynamical case, we would like to associate an algebraic structure to solutions of the QDYBE, the *dynamical quantum group*.

Our aim is to understand the solutions of the QDYBE, i.e., the dynamical *R*-matrices, and the dynamical quantum groups.

In the first chapter we introduce some basic notions necessary to understand the setting of quantum groups. Specifically we recall the quantum Yang-Baxter equation and the definition of *R*-matrix, we then introduce Hopf algebras and the concept of quantum group.

In section 2.3 we present a construction, first introduced by Faddeev, Reshetikhin and Takhtajan [FRT], that allows us to construct a quantum group starting from a given *R*-matrix.

In the last section we present an explicit example, the quantum group  $U_q(sl_2)$ .

In the second chapter we introduce the main equation, the quantum dynamical Yang-Baxter equation. Similarly to the case of the QYBE, we would like to find an algebraic structure associated to solutions

of the QDYBE, the dynamical  $R$ -matrices, that we will call dynamical quantum group.

We then introduce the dynamical quantum group  $A_R$ , a generalization of the RTT construction in the dynamical case. In section 3.2.3 we give a few results regarding the representation theory of  $A_R$  and linking it to that of  $R$ .

We then discuss a way to obtain solutions of the QDYBE using fusion and exchange operators.

In the last chapter we introduce the classical dynamical Yang-Baxter equation, i.e., the dynamical analogue of the classical Yang-Baxter equation. We give some basics notions in order to give a geometric interpretation to the CDYBE, introducing the concept of Poisson groupoid, a generalization of Drinfeld's construction for Poisson-Lie groups [Dr].

## 2 Basic Tools

In this chapter we introduce the Quantum Yang-Baxter equation (QYBE) and give the definition of R-matrices as solutions to the QYBE. We then introduce the algebraic structure behind R-matrices, i.e., Hopf algebras and Quantum Groups.

### 2.1 The Quantum Yang-Baxter equation

Consider a  $\mathbb{K}$ -vector space  $V$  and a linear operator  $R : V \otimes_{\mathbb{K}} V \rightarrow V \otimes_{\mathbb{K}} V$ , we say that  $R$  satisfies the quantum Yang-Baxter equation (QYBE) if:

$$R^{12}R^{13}R^{23} = R^{23}R^{13}R^{12},$$

where  $R^{ij}$  is the operator acting as  $R$  on the  $i$ th and  $j$ th components in  $\text{End}(V \otimes_{\mathbb{K}} V \otimes_{\mathbb{K}} V)$ .

**Definition 1.** We define  $R$ -matrix a solution of the QYBE.

### 2.2 Hopf algebras

We now give the necessary definitions needed to work within the framework of Hopf algebras to better understand the QYBE.

Fix a field  $\mathbb{K}$ , then a  $\mathbb{K}$ -algebra  $A$  is a  $\mathbb{K}$ -vector space equipped with a bilinear product  $\mu : A \otimes A \rightarrow A$ . It is associative if the diagram

$$\begin{array}{ccccc} & & A \otimes A & & \\ & \nearrow 1 \otimes \mu & & \searrow \mu & \\ A \otimes A \otimes A & & & & A \\ \searrow \mu \otimes 1 & & & \nearrow \mu & \\ & A \otimes A & & & \end{array}$$

commutes.

If there is a map  $\eta : \mathbb{K} \rightarrow A$  such that

$$\begin{array}{ccccc} A \otimes \mathbb{K} & \xlongequal{\quad} & A & \xlongequal{\quad} & \mathbb{K} \otimes A \\ & \searrow 1 \otimes \eta & & \swarrow \eta \otimes 1 & \\ & A \otimes A & & & \end{array}$$

commutes, then  $A$  is unital.

Similarly  $A$  is said to be coassociative with counit if there exist a coproduct  $\Delta : A \rightarrow A \otimes A$  and a counit  $\epsilon : A \rightarrow \mathbb{K}$  such that the diagrams

$$\begin{array}{ccc} \begin{array}{ccc} & A \otimes A & \\ \swarrow 1 \otimes \Delta & & \searrow \Delta \\ A \otimes A \otimes A & & A \\ \swarrow \Delta \otimes 1 & & \searrow \Delta \\ A \otimes A & & \end{array} & & \begin{array}{ccc} A \otimes \mathbb{K} & \xlongequal{\quad} & A & \xlongequal{\quad} & \mathbb{K} \otimes A \\ \swarrow 1 \otimes \epsilon & & & & \searrow \epsilon \otimes 1 \\ A \otimes A & & & & \end{array} \end{array}$$

commute.

**Definition 2.** If  $(A, \epsilon, \Delta, \mu, \eta)$  is such that  $(A, \epsilon, \Delta)$  is a coalgebra and  $(A, \mu, \eta)$  is an algebra, then  $A$  is called a *bialgebra*.

**Definition 3.** A *Hopf algebra* is a bialgebra over  $\mathbb{K}$  with a linear map  $S : H \rightarrow H$ , called the *antipode*, such that the following diagram commutes:

$$\begin{array}{ccccc}
 & A \otimes A & \xrightarrow{S \otimes id} & A \otimes A & \\
 \Delta \nearrow & & & & \searrow \mu \\
 A & \xrightarrow{\epsilon} & \mathbb{C} & \xrightarrow{\eta} & A \\
 \searrow \Delta & & & & \nearrow \mu \\
 & A \otimes A & \xrightarrow{id \otimes S} & A \otimes A &
 \end{array}$$

We can now introduce the general algebraic structure behind R-matrices:

**Definition 4.** A bialgebra, or Hopf algebra, is *quasitriangular* if there exists an element  $R \in A \otimes A$ , called *universal R-matrix*, such that

$$R\Delta(x)R^{-1} = \tau\Delta(x),$$

where  $\tau$  is the transposition operator  $\tau(a \otimes b) = b \otimes a$ , and

$$(\Delta \otimes 1)R = R_{13}R_{23}, \quad (1 \otimes \Delta)R = R_{13}R_{12}.$$

**Remark 5.** Here the notation  $\tau\Delta(x)$  is used to indicate  $\tau\Delta(x)\tau = \Delta^{op}(x)$ .

Given a quasitriangular Hopf algebra, the universal  $R$ -matrix satisfies the QYBE.

**Definition 6.** We call a quasitriangular Hopf algebra *quantum group*.

### 2.3 The RTT construction

Suppose  $R$  is a solution of the Yang-Baxter equation, i.e., an  $R$ -matrix, then we would like to associate to this given solution a corresponding Hopf algebra such that  $R$  determines its quasitriangular structure. To do so we follow the construction explained in detail in [FRT].

Let  $V$  be an  $n$ -dimensional  $\mathbb{C}$  vector space and  $R \in End(V \otimes V)$  an element satisfying the QYBE.

Define  $A = A(R)$  as an associative algebra over  $\mathbb{C}$  generated by  $1, t_{ij}$  for  $i, j = 1, \dots, n$  satisfying

$$RT_1T_2 = T_2T_1R,$$

where  $T = (t_{ij})$  and we use the notation  $T_1 = T \otimes 1$  and  $T_2 = 1 \otimes T$ .

**Proposition 7.**  $A(R)$  is an Hopf algebra with coproduct  $\Delta : A \rightarrow A \otimes A$  defined by  $\Delta(1) = 1 \otimes 1$  and  $\Delta(t_{ij}) = \sum_{k=1}^n t_{ik} \otimes t_{kj}$ .

*Proof.* We give an idea of the proof of the above result, a more general discussion regarding  $A(R)$  is present in [K, VIII.6].

The above formulas define a unique algebra map  $\Delta : A \rightarrow A \otimes A$  and the counit is given by  $\epsilon(t_{ij}) = \delta_{ij}$ .

It is sufficient to check coassociativity on the generators  $t_{ij}$  and extend by linearity.

Define  $I$  as the ideal generated by the RTT relations, we need to check that  $I$  is a coideal, i.e., that  $\Delta(I) \subset I \otimes \tilde{A} + \tilde{A} \otimes I$ , where  $\tilde{A}$  is the free algebra without the relations on the generators.

Define  $S_{ij}^{mn} := \sum_{k,l} R_{ij}^{kl} t_{kmt_{ln}} - \sum_{k,l} t_{ik} t_{jl} R_{kl}^{mn}$ , then we have that:

$$\begin{aligned} \Delta(S_{ij}^{mn}) &= \sum_{k,l,p,q} R_{ij}^{kl} t_{kpt_{lq}} \otimes t_{pm} t_{qn} - \sum_{k,l,p,q} t_{ip} t_{jq} \otimes t_{pk} t_{ql} R_{kl}^{mn} = \\ &= \sum_{k,l,p,q} S_{ij}^{pq} \otimes t_{pm} t_{qn} + \sum_{k,l,p,q} t_{ik} t_{jl} R_{kl}^{pq} \otimes t_{pm} t_{qn} + \\ &+ \sum_{k,l,p,q} t_{ip} t_{jq} \otimes S_{pq}^{mn} - \sum_{k,l,p,q} t_{ip} t_{jq} \otimes R_{pq}^{kl} t_{kmt_{ln}} = \\ &= \sum_{k,l,p,q} S_{ij}^{pq} \otimes t_{pm} t_{qn} + \sum_{k,l,p,q} t_{ip} t_{jq} \otimes S_{pq}^{mn} \end{aligned}$$

□

We observe that  $R$  controls the non-commutativity of the generators  $t_{ij}$  of  $A(R)$ .

## 2.4 The quantum group $U_q(sl_2)$

In this section we compute an explicit example of quantum group and  $R$ -matrix.

Consider  $\mathfrak{g} = sl_2$  and  $q \in \mathbb{C}$ ,  $q \neq 0$ , such that  $q$  is not a root of unity. Define  $U_q(sl_2)$  as the algebra generated by  $E, F, K^\pm$  with the following relations:

$$\begin{aligned} KK^{-1} &= K^{-1}K = 1, \\ KEK^{-1} &= q^2 E, \\ KFK^{-1} &= q^{-2} F, \\ EF - FE &= \frac{K - K^{-1}}{q - q^{-1}}. \end{aligned}$$

**Proposition 8.**  $U = U_q(sl_2)$  is a Hopf algebra.

*Proof.* Define on  $U$  the following structure:

$$\begin{aligned} \Delta(E) &= E \otimes 1 + K \otimes E, \quad \Delta(F) = F \otimes K^{-1} + 1 \otimes F, \quad \Delta(K) = K \otimes K \\ \epsilon(E) &= \epsilon(F) = 0, \quad \epsilon(K) = 1 \\ S(E) &= -K^{-1}E, \quad S(F) = -KF, \quad S(K) = K^{-1} \end{aligned}$$

$\Delta, \epsilon$  and  $S$  defined as above give  $U$  an Hopf algebra structure.

We check that  $\Delta([E, F]) = [\Delta(E), \Delta(F)]$ :

$$\begin{aligned} [\Delta(E), \Delta(F)] &= [E \otimes 1 + K \otimes E, F \otimes K^{-1} + 1 \otimes F] = \\ &= [E, F] \otimes K^{-1} + K \otimes [E, F] + [K \otimes E, F \otimes K^{-1}] = \\ &= \frac{K - K^{-1}}{q - q^{-1}} \otimes K^{-1} + K \otimes \frac{K - K^{-1}}{q - q^{-1}} + KF \otimes EK^{-1} - FK \otimes K^{-1}E = \\ &= \frac{K \otimes K - K^{-1} \otimes K^{-1}}{q - q^{-1}} = \\ &= \Delta\left(\frac{K - K^{-1}}{q - q^{-1}}\right) = \Delta([E, F]). \end{aligned}$$

So we conclude.

□

We denote  $\tau : U \otimes U \rightarrow U \otimes U$  to be the transposition operator  $\tau(a \otimes b) = b \otimes a$ . Observe that the opposite coproduct is given by

$$\Delta^{op}(E) = E \otimes K + 1 \otimes E, \quad \Delta^{op}(F) = F \otimes 1 + K^{-1} \otimes F.$$

**Remark 9.** One would like to recover the universal enveloping algebra  $U(sl_2)$  from the quantized  $U_q(sl_2)$  when  $q \rightarrow 1$ , to do so we need to consider the formal version of  $U_q(sl_2)$  defined starting from  $E, F, H$  and relations on their brackets [K, XVII. 4]. With this definition the element  $K$  is  $K = e^{qH}$  and for  $q = 1$  we get  $U(sl_2)$ .

Let  $V = \mathbb{C}^2$  and consider the tautological representation given by:

$$E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad K = \begin{pmatrix} q & 0 \\ 0 & q^{-1} \end{pmatrix}.$$

We are looking for an element  $R \in U \otimes U$  such that  $R\Delta^{op}(u) = \Delta(u)R$  for all  $u \in U$ . We take advantage of the tautological representation and look for such an  $R$  in  $End(V \otimes V)$ .

Consider  $V \otimes V$  with basis  $\{x \otimes x, x \otimes y, y \otimes x, y \otimes y\}$ , then  $U$  acts on  $V \otimes V$  in the following way:

$$E(v \otimes w) = \Delta(E)(v \otimes w), \quad F(v \otimes w) = \Delta(F)(v \otimes w), \quad K(v \otimes w) = \Delta(K)(v \otimes w)$$

As matrices we get:

$$E = \begin{pmatrix} 0 & q & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & q^{-1} \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad F = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ q^{-1} & 0 & 0 & 0 \\ 0 & q & 1 & 0 \end{pmatrix} \quad K = \begin{pmatrix} q^2 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & q^{-2} \end{pmatrix}.$$

The  $R$ -matrix preserves the eigenspaces of  $K$  so it will be of the form

$$R = \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & b & c & 0 \\ 0 & d & e & 0 \\ 0 & 0 & 0 & f \end{pmatrix} \in End(V \otimes V).$$

We then have the following:

$$R\Delta^{op}(E) = \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & b & c & 0 \\ 0 & d & e & 0 \\ 0 & 0 & 0 & f \end{pmatrix} \begin{pmatrix} 0 & 1 & q & 0 \\ 0 & 0 & 0 & q^{-1} \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & a & qa & 0 \\ 0 & 0 & 0 & c + bq^{-1} \\ 0 & 0 & 0 & e + dq^{-1} \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\Delta(E)R = \begin{pmatrix} 0 & q & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & q^{-1} \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & b & c & 0 \\ 0 & d & e & 0 \\ 0 & 0 & 0 & f \end{pmatrix} = \begin{pmatrix} 0 & d + qb & e + qc & 0 \\ 0 & 0 & 0 & f \\ 0 & 0 & 0 & fq^{-1} \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$R\Delta^{op}(F) = \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & b & c & 0 \\ 0 & d & e & 0 \\ 0 & 0 & 0 & f \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 0 \\ q^{-1} & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & q & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ c + bq^{-1} & 0 & 0 & 0 \\ e + dq^{-1} & 0 & 0 & 0 \\ 0 & f & qf & 0 \end{pmatrix}$$

$$\Delta(F)R = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ q^{-1} & 0 & 0 & 0 \\ 0 & q & 1 & 0 \end{pmatrix} \begin{pmatrix} a & 0 & 0 & 0 \\ 0 & b & c & 0 \\ 0 & d & e & 0 \\ 0 & 0 & 0 & f \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ a & 0 & 0 & 0 \\ aq^{-1} & 0 & 0 & 0 \\ 0 & d + qb & e + qc & 0 \end{pmatrix}$$

Imposing the condition on  $R$  we obtain the following systems:

$$\begin{cases} a = d + qb \\ qa = e + qc \\ f = c + bq^{-1} \\ f q^{-1} = e + dq^{-1} \end{cases} \quad \begin{cases} a = c + bq^{-1} \\ aq^{-1} = e + dq^{-1} \\ f = d + qb \\ f q = e + qc \end{cases}.$$

One then gets

$$\begin{cases} a = f \\ d = a - qb \\ c = a - bq^{-1} \\ e = q^{-1}(a - d) \\ b = q^{-1}(a - d) \end{cases},$$

so, up to scalars, the matrix of  $R$  is

$$R = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & b & 1 - q^{-1}b & 0 \\ 0 & 1 - qb & b & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

Consider  $b = q$ , we obtain a triangular matrix satisfying the QYBE:

$$R = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & q & 0 & 0 \\ 0 & 1 - q^2 & q & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

**Remark 10.** The  $R$ -matrix we obtained is the image in  $End(V \otimes V)$  of an element in the extended  $U \tilde{\otimes} U$  and not in  $U \otimes U$ . This implies that  $U_q(sl_2)$  is not quasitriangular in a purely algebraic sense, but an  $R$ -matrix can be found in the completed tensor product.

Specifically, one finds [K, Theorem XVII 4.2]

$$R = \sum_{n=0}^{\infty} \frac{(q^{-1} - q)^n q^{-n(n-1)/2}}{[n]!} F^n \otimes E^n.$$

This infinite sum lives in  $U \tilde{\otimes} U$  but for  $V$  finite dimensional representation of  $sl_2$ , since  $E, F$  act nilpotently on  $V$ , the image of  $R$  is in  $End(V \otimes V)$ .

Viceversa, as in [Fa], suppose given the matrix

$$R = \begin{pmatrix} q & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & q - \frac{1}{q} & 1 & 0 \\ 0 & 0 & 0 & q \end{pmatrix},$$

let  $T$  be the matrix  $T = \{t_{ij}\}_{i,j \in \{1,2\}}$ , then the RTT relations reduce to the following 6 formulae (out of 16 only 6 are independent):

$$\begin{aligned} t_{11}t_{12} &= qt_{12}t_{11} \\ t_{12}t_{21} &= t_{21}t_{12} \\ t_{11}t_{21} &= qt_{21}t_{11} \\ t_{22}t_{12} &= \frac{1}{q}t_{12}t_{22} \\ t_{22}t_{21} &= \frac{1}{q}t_{21}t_{22} \\ t_{11}t_{22} - t_{22}t_{11} &= (q - \frac{1}{q})t_{12}t_{21} \end{aligned}$$

Consider the  $q$ -determinant of  $T$  given by

$$\det_q(T) = \sum_{\sigma \in S_n} (-q)^{l(\sigma)} t_{1\sigma(1)} \dots t_{n\sigma(n)} = t_{11}t_{22} - qt_{12}t_{21},$$

imposing  $\det_q(T) = 1$  we obtain the quantum group  $SL_q(2)$ , which is dual to  $U_q(sl_2)$  [K, VII.5].

### 3 The quantum dynamical case

In this chapter we introduce the quantum dynamical Yang-Baxter equation (QDYBE), a generalization of the QYBE arising from mathematical physics, in which additional parameters appear.

Similarly to the case of quantum groups, we introduce the solutions of the QDYBE, i.e., dynamical R-matrices, and see how one can associate to such a solution an algebraic structure, which will be called a dynamical quantum group.

#### 3.1 The quantum dynamical Yang-Baxter equation

Unlike the QYBE, the dynamical version is not an algebraic equation but a difference one, where the R-matrix is a matrix-valued function on an abelian Lie algebra instead of a matrix with scalar entries.

Let  $\mathfrak{h}$  be a finite dimensional commutative Lie algebra over  $\mathbb{C}$ ,  $\gamma \in \mathbb{C}$  and  $V$  a semisimple finite dimensional  $\mathfrak{h}$ -module. Let  $R : \mathfrak{h}^* \rightarrow \text{End}_{\mathfrak{h}}(V \otimes V)$  be a meromorphic function, then on  $V \otimes V \otimes V$  the QDYBE of step  $\gamma$  reads:

$$R^{12}(\lambda - \gamma h^3)R^{13}(\lambda)R^{23}(\lambda - \gamma h^1) = R^{23}(\lambda)R^{13}(\lambda - \gamma h^2)R^{12}(\lambda),$$

where  $h^i$  is the *dynamical notation*, i.e.,  $R^{12}(\lambda - \gamma h^3)(v_1 \otimes v_2 \otimes v_3) := (R^{12}(\lambda - \gamma \mu)(v_1 \otimes v_2)) \otimes v_3$  if  $v_3$  has weight  $\mu$ , and similarly for  $h^1, h^2$ .

If  $\mathfrak{h} = 0$  we obtain the usual QYBE.

A function  $R_{ij} : \mathfrak{h}^* \rightarrow \text{End}(V_i \otimes V_j)$  is of zero weight if

$$[R_{ij}(\lambda), h \otimes 1 + 1 \otimes h] = 0$$

for all  $h \in \mathfrak{h}$  and  $\lambda \in \mathfrak{h}^*$ .

**Definition 11.** A *Quantum dynamical R-matrix*  $R : \mathfrak{h}^* \rightarrow \text{End}(V \otimes V)$  is a generically invertible solution of the QDYBE of zero weight .

##### 3.1.1 Representation of quantum dynamical R-matrices

The following notions were introduced by Felder and Varchenko [FV], and later discussed in [EV2].

Let  $M_{\mathfrak{h}^*}$  be the space of meromorphic functions on  $\mathfrak{h}^*$ , fix  $\gamma \in \mathbb{C}$ .

Denote by  $V_{\mathfrak{h}}$  the category of  $\mathfrak{h}$ -vector spaces, with objects the diagonalizable  $\mathfrak{h}$ -modules and morphisms defined by  $\text{Hom}_{V_{\mathfrak{h}}}(X, Y) = \text{Hom}_{\mathfrak{h}}(X, Y \otimes_{\mathbb{C}} M_{\mathfrak{h}^*})$ .

Consider the bifunctor:

$$\bar{\otimes} : V_{\mathfrak{h}} \times V_{\mathfrak{h}} \rightarrow V_{\mathfrak{h}}$$

defined on objects by taking the usual tensor product and for any two morphisms  $f : X \rightarrow X'$  and  $g : Y \rightarrow Y'$  as

$$\begin{aligned} f \bar{\otimes} g &: X \otimes Y \rightarrow X' \otimes Y' \\ f \bar{\otimes} g(\lambda) &= f^1(\lambda - \gamma h^2)(1 \otimes g(\lambda)), \end{aligned} \tag{1}$$

where

$$f^1(\lambda - \gamma h^2)(1 \otimes g(\lambda))(x \otimes y) = (f(\lambda - \mu)x) \otimes g(\lambda)y$$

for  $g(\lambda)y$  of weight  $\mu$ . The category  $V_{\mathfrak{h}}$  equipped with the bifunctor  $\bar{\otimes}$  is a tensor category.

**Definition 12.** Let  $R : \mathfrak{h}^* \rightarrow \text{End}(V \otimes V)$  be a quantum dynamical R-matrix, i.e., a meromorphic function satisfying QDYBE. A *representation* of  $R$  is an object  $W \in V_{\mathfrak{h}}$  together with an invertible morphism  $L \in \text{End}_{V_{\mathfrak{h}}}(V \bar{\otimes} W)$ , called *L-operator*, such that

$$R^{12}(\lambda - \gamma h^3)L^{13}(\lambda)L^{23}(\lambda - \gamma h^1) = L^{23}(\lambda)L^{13}(\lambda - \gamma h^2)R^{12}(\lambda)$$

in  $\text{End}_{V_{\mathfrak{h}}}(V \bar{\otimes} V \bar{\otimes} W)$ .

**Definition 13.** Let  $(W, L_W)$  and  $(U, L_U)$  be representations of  $R$ , a morphism  $A \in \text{Hom}_{V_{\mathfrak{h}}}(W, U)$  is an *R-morphism* if

$$(1 \otimes A(\lambda))L_W(\lambda) = L_U(\lambda)(1 \otimes A(\lambda - \gamma h^1)).$$

The representations of  $R$  form a category which we denote by  $\text{Rep}(R)$ .

The tensor product of two given representations  $W, U \in \text{Rep}(R)$  is given by the pair  $(W \otimes U, L_{W \otimes U})$ , where  $L_{W \otimes U}(\lambda) := L_W^{12}(\lambda - \gamma h^3)L_U^{13}(\lambda)$ .

**Proposition 14.** [EV2, Lemma 3.2] *The pair  $(W \otimes U, L_{W \otimes U})$  is itself a representation of  $R$ .*

*Proof.* We need to check that for  $L_{W \otimes U}$  on  $\text{End}_{V_{\mathfrak{h}}}(V \bar{\otimes} V \bar{\otimes} (W \otimes U))$  the following holds:

$$R^{12}(\lambda - \gamma h^3)L_{W \otimes U}^{13}(\lambda)L_{W \otimes U}^{23}(\lambda - \gamma h^1) = L_{W \otimes U}^{23}(\lambda)L_{W \otimes U}^{13}(\lambda - \gamma h^2)R^{12}(\lambda).$$

On the rhs we have:

$$\begin{aligned} & R^{12}(\lambda - \gamma \tilde{h}^3)L_{W \otimes U}^{13}(\lambda)L_{W \otimes U}^{23}(\lambda - \gamma h^1) = \\ & = R^{12}(\lambda - \gamma \tilde{h}^3)L_{W \otimes U}^{13}(\lambda)L_W^{23}(\lambda - \gamma(h^1 + h^4))L_U^{24}(\lambda - \gamma h^1) = \\ & = R^{12}(\lambda - \gamma \tilde{h}^3)L_W^{13}(\lambda - \gamma h^4)L_U^{14}(\lambda)L_W^{23}(\lambda - \gamma(h^1 + h^4))L_U^{24}(\lambda - \gamma h^1). \end{aligned}$$

Note that we write  $\tilde{h}^3$  to indicate that we are using the weight of elements  $z \in W \otimes U$ , while we write  $h^3, h^4$  when we consider  $z \in W \otimes U$  as  $z = w \otimes u$ .

The lhs is:

$$\begin{aligned} & L_{W \otimes U}^{23}(\lambda)L_{W \otimes U}^{13}(\lambda - \gamma h^2)R^{12}(\lambda) = \\ & = L_W^{23}(\lambda - \gamma h^4)L_U^{24}(\lambda)L_W^{13}(\lambda - \gamma(h^2 + h^4))L_U^{14}(\lambda - \gamma h^2)R^{12}(\lambda). \end{aligned}$$

Using the fact that  $L_W$  and  $L_U$  satisfy the defining relation of a representation of  $R$  we conclude.  $\square$

Proposition 14 gives a structure of tensor category to  $\text{Rep}(R)$ .

We also introduce the notion of left and right dual representation:

**Definition 15.** Let  $(W, L_W) \in \text{Rep}(R)$ , the *right dual representation* to  $W$  is given by the pair  $(W^*, L_{W^*})$ , where  $W^*$  is the  $\mathfrak{h}$ -graded dual of  $W$  and

$$L_{W^*} = L_W^{-1}(\lambda + \gamma h^2)^{t_2},$$

where  $t_2$  denotes dualization in the second component. Dually the *left dual representation* of  $W$  is given by  $({}^*W, L_{{}^*W})$  with  ${}^*W = W^*$  and

$$L_{{}^*W} = L_W^{t_2}(\lambda - \gamma h^2)^{-1}.$$

Note that  $L_{W^*}$  and  $L_{*W}$  are obtained by applying three different operations to  $L_W$ : inversion, shifting and dualization in the second component. To define the dual representation one must then have  $L_W$  or  $L_W^{t_2}$  invertible.

### 3.2 $h$ -Hopf algebroid

In this section we introduce the algebraic structures necessary to define the dynamical equivalent of quantum groups, the dynamical quantum groups, as given in [EV2]. We also introduce a construction that associates to a given meromorphic function  $R : \mathfrak{h}^* \rightarrow \text{End}(V \otimes V)$  an  $\mathfrak{h}$ -bialgebroid called the dynamical quantum group corresponding to  $R$ .

The term algebroid comes from the fact that in the classical case one obtains, as dynamical analogues of Poisson-Lie groups, the Poisson groupoids (51). The term creates a parallelism between the classical and quantized version of the YBE and DYBE.

#### 3.2.1 $\mathfrak{h}$ -bialgebras

Let  $\mathfrak{h}$  be a finite dimensional commutative Lie algebra on  $\mathbb{C}$ , let  $M_{\mathfrak{h}^*}$  denote the field of meromorphic functions on  $\mathfrak{h}^*$ . Fix  $\gamma \in \mathbb{C}$  with  $\gamma \neq 0$ .

**Definition 16.** An  $\mathfrak{h}$ -algebra of step  $\gamma$  is an associative algebra  $A$  over  $\mathbb{C}$  with unit, endowed with an  $\mathfrak{h}^*$ -bigrading called the weight decomposition

$$A = \bigoplus_{\alpha, \beta \in \mathfrak{h}^*} A_{\alpha\beta},$$

and *left and right moment maps*, i.e., two algebra embeddings  $\mu_l, \mu_r : M_{\mathfrak{h}^*} \rightarrow A_{00}$  such that  $\forall a \in A_{\alpha\beta}$  and  $f \in M_{\mathfrak{h}^*}$  we have

$$\mu_l(f(\lambda))(a) = a\mu_l(f(\lambda + \gamma\alpha)), \quad \mu_r(f(\lambda))(a) = a\mu_r(f(\lambda + \gamma\beta)).$$

**Definition 17.** A morphism between  $\mathfrak{h}$ -algebras is an algebra homomorphism  $\varphi : A \rightarrow B$  preserving the moment maps.

Given two  $\mathfrak{h}$ -algebras  $A, B$  we define a third  $\mathfrak{h}$ -algebra given by the following operation:

**Definition 18.** The *matrix tensor product* of  $A, B$  is the  $\mathfrak{h}$ -algebra  $A \tilde{\otimes} B$  where

$$(A \tilde{\otimes} B)_{\alpha\delta} = \bigoplus_{\beta} A_{\alpha\beta} \otimes_{M_{\mathfrak{h}^*}} B_{\beta\delta}.$$

Here  $\otimes_{M_{\mathfrak{h}^*}}$  is the usual tensor product modulo the following relation:

$$\mu_r^A(f)a \otimes b = a \otimes \mu_l^B(f)b$$

for any  $f \in M_{\mathfrak{h}^*}, a \in A, b \in B$ .

On  $A \tilde{\otimes} B$  define the moment maps as:

$$\mu_l^{A \tilde{\otimes} B}(f) = \mu_l^A(f) \otimes 1, \quad \mu_r^{A \tilde{\otimes} B}(f) = 1 \otimes \mu_r^B(f).$$

**Definition 19.** A *coproduct* on an  $\mathfrak{h}$ -algebra  $A$  is an homomorphism of  $\mathfrak{h}$ -algebras  $\Delta : A \rightarrow A \tilde{\otimes} A$ .

We give now a simple nontrivial example of an  $\mathfrak{h}$ -algebra that will be used to give a monoidal category structure to the category of  $\mathfrak{h}$ -algebras.

**Example 20.** Let  $D_{\mathfrak{h}}$  be the algebra of difference operators  $M_{\mathfrak{h}^*} \rightarrow M_{\mathfrak{h}^*}$ , i.e., operators of the form  $\sum_{i=1}^n f_i(\lambda)T_{\beta_i}$  where  $f_i \in M_{\mathfrak{h}^*}$  and  $\forall \beta \in \mathfrak{h}^*$  we denote  $T_{\beta}$  the field of automorphisms of  $M_{\mathfrak{h}^*}$  given by  $(T_{\beta}f)(\lambda) = f(\lambda + \gamma\beta)$ .

On  $D_{\mathfrak{h}}$  we define the weight decomposition as

$$D_{\mathfrak{h}} = \bigoplus (D_{\mathfrak{h}})_{\alpha\beta},$$

where  $(D_{\mathfrak{h}})_{\alpha\beta} = 0$  if  $\alpha \neq \beta$  and  $(D_{\mathfrak{h}})_{\alpha\alpha} = \{f(\lambda)T_{\alpha}^{-1} : f \in M_{\mathfrak{h}^*}\}$ . The moment maps are given by the tautological isomorphism

$$\mu_l = \mu_r : M_{\mathfrak{h}^*} \rightarrow (D_{\mathfrak{h}})_{00},$$

in fact  $(D_{\mathfrak{h}})_{00} = \{f(\lambda)T_0^{-1} : f \in M_{\mathfrak{h}^*}\} = \{f(\lambda) : f \in M_{\mathfrak{h}^*}\} \cong M_{\mathfrak{h}^*}$ .

By definition of  $D_{\mathfrak{h}}$  one has  $A \tilde{\otimes} D_{\mathfrak{h}}$  and  $D_{\mathfrak{h}} \tilde{\otimes} A$  isomorphic to  $A$ .

The  $\mathfrak{h}$ -algebra  $D_{\mathfrak{h}}$  is the unit object of the monoidal category of  $\mathfrak{h}$ -algebras.

**Definition 21.** A *counit* on an  $\mathfrak{h}$ -algebra  $A$  is a homomorphism of  $\mathfrak{h}$ -algebras  $\epsilon : A \rightarrow D_{\mathfrak{h}}$ .

**Definition 22.** An  *$\mathfrak{h}$ -bialgebroid* is an  $\mathfrak{h}$ -algebra  $A$  equipped with a coassociative coproduct  $\Delta$ , i.e.,  $(\Delta \otimes Id_A) \circ \Delta = (Id_A \otimes \Delta) \circ \Delta$ , and a counit  $\epsilon$  such that  $(\epsilon \otimes Id_A) \circ \Delta = (Id_A \otimes \epsilon) \circ \Delta = Id_A$ .

**Remark 23.** Note that an  $\mathfrak{h}$ -bialgebroid is defined using the matrix tensor product  $\tilde{\otimes}$ , this allows multiplication by elements of  $M_{\mathfrak{h}}$ , i.e., meromorphic functions and not only holomorphic.

**Example 24.**  $D_{\mathfrak{h}}$  is an  $\mathfrak{h}$ -bialgebroid with coproduct  $\Delta : D_{\mathfrak{h}} \rightarrow D_{\mathfrak{h}} \tilde{\otimes} D_{\mathfrak{h}}$  the canonical isomorphism and counit  $\epsilon = Id$ .

Consider  $A$  an  $\mathfrak{h}$ -algebra, a linear map  $S : A \rightarrow A$  is an antiautomorphism of  $\mathfrak{h}$ -algebras if it is an antiautomorphism of algebras and  $\mu_r \circ S = \mu_l$  and  $\mu_l \circ S = \mu_r$ .

**Definition 25.** Let  $A$  be an  $\mathfrak{h}$ -bialgebroid, an *antipode* on  $A$  is an antiautomorphism of  $\mathfrak{h}$ -algebras  $S : A \rightarrow A$  such that for any  $a \in A$  and any presentation of  $\Delta(a)$  one has

$$\sum_i a_i^1 S(a_i^2) = \mu_l(\epsilon(a)1), \quad \sum_i S(a_i^1) a_i^2 = \mu_r(\epsilon(a)1).$$

Where  $\epsilon$  and  $\Delta$  are the counit and coproduct on  $A$  and for  $a \in A$  we have  $\Delta(a) = \sum_i a_i^1 \otimes a_i^2$ .

**Definition 26.** An  $\mathfrak{h}$ -bialgebroid with an antipode is called an  *$\mathfrak{h}$ -Hopf algebroid*.

### 3.2.2 The dynamical quantum group $A_R$

Let  $\mathfrak{h}$  be a finite dimensional commutative Lie algebra, consider  $V = \bigoplus_{\alpha \in \mathfrak{h}^*} V_{\alpha}$  a finite dimensional diagonalizable  $\mathfrak{h}$ -module.

Let  $R : \mathfrak{h}^* \rightarrow End(V \otimes V)$  be a meromorphic function such that for a generic  $\lambda$  we have  $R(\lambda)$  invertible. Similarly to the RTT construction 2.3, we want to define an  $\mathfrak{h}$ -bialgebroid  $A_R$  associated to  $R$  that we will call the *dynamical quantum group corresponding to  $R$* , i.e., a dynamical analogue of the quantum group attached to an  $R$ -matrix [EV2].

Define  $A_R$  as the quotient of the algebra  $\tilde{A}$  freely generated by  $M_{\mathfrak{h}^*} \otimes M_{\mathfrak{h}^*}$  and new generators which are matrix elements of the operators  $L^\pm \in \text{End}(V) \otimes A_R$ ,  $L_{ab}$  and  $(L^{-1})_{ab}$  for  $a, b = 1, \dots, \dim V$ .

For  $f \in M_{\mathfrak{h}^*}$  we denote  $f(\lambda^1)$  and  $f(\lambda^2)$  the elements of respectively the first and second copy of  $M_{\mathfrak{h}^*}$  in  $A_R$ . We denote the weight components of  $L^\pm$  with respect to the natural  $\mathfrak{h}$ -bigrading on  $\text{End}(V)$  as  $(L^\pm)_{\alpha\beta}$ , so that  $(L^\pm)_{\alpha\beta} \in \text{Hom}_{\mathbb{C}}(V_\beta, V_\alpha) \otimes A_R$ .

We quotient  $\tilde{A}$  by the ideal defined by the following relations:

$$f(\lambda^1)L_{\alpha\beta} = L_{\alpha\beta}f(\lambda^1 + \gamma\alpha) \quad f(\lambda^2)L_{\alpha\beta} = L_{\alpha\beta}f(\lambda^2 + \gamma\beta) \quad [f(\lambda^1), g(\lambda^2)] = 0 \quad (2)$$

$$LL^{-1} = L^{-1}L = 1 \quad (3)$$

$$R^{12}(\lambda^1)L^{13}L^{23} =: L^{23}L^{13}R^{12}(\lambda^2). \quad (4)$$

The third relation is called the dynamical Yang-Baxter relation and should be read in the following way: if  $\{v_\alpha\}$  is a homogeneous basis of  $V$ , and  $L = \sum E_{ab} \otimes L_{ab}$ ,  $R(\lambda)(v_a \otimes v_b) = \sum R_{cd}^{ab}(\lambda)v_c \otimes v_d$ , then

$$\sum R_{ac}^{xy}(\lambda^1)L_{xb}L_{yd} = \sum R_{cd}^{bd}(\lambda^2)_{xy}L_{cy}L_{ax}$$

summing over repeated indices.

To give  $A_R$  the structure of an  $\mathfrak{h}$ -algebra we define the moment maps as follows:

$$\mu_l(f(\lambda)) = f(\lambda^1), \quad \mu_r(f(\lambda)) = f(\lambda^2).$$

The weight decomposition is given by  $f(\lambda^1), f(\lambda^2) \in (A_R)_{00}$  and  $L_{\alpha\beta} \in \text{Hom}_{\mathbb{C}}(V_\beta, V_\alpha) \otimes (A_R)_{\alpha\beta}$ .

We want to give  $A_R$  a  $\mathfrak{h}$ -bialgebroid structure, to do so we define a coproduct  $\Delta : A_R \rightarrow A_R \tilde{\otimes} A_R$  as

$$\Delta(L) = L^{12}L^{13}, \quad \Delta(L^{-1}) = (L^{-1})^{13}(L^{-1})^{12},$$

where  $\Delta$  is applied to the second component of  $L^\pm$ .

**Remark 27.** Compare the  $\Delta$  here defined to that of Proposition 7: similarly to the RTT case, the idea is to define the coproduct on the generators and to extend it. Note that here, in the Yang-Baxter relation, we are acting on  $V \otimes V \otimes V$  whereas in the RTT relation we had  $T^1, T^2$  acting on  $V \otimes V$ . Similarly to Proposition 7 one must check that the given coproduct preserves the defining relations.

**Proposition 28.** [EV2, Proposition 4.2]  $\Delta$  extends to a well defined homomorphism  $A_R \rightarrow A_R \tilde{\otimes} A_R$ .

*Proof.* By definition  $\Delta(L_{\alpha\beta}) = \sum_\gamma L_{\alpha\gamma}^{12}L_{\gamma\beta}^{13}$ . We need to show that  $\Delta$  preserves the defining relations of  $A_R$ .

Relations 2 and 3 are invariant by definition of  $\Delta$ . Consider relation 4, we have

$$\begin{aligned} R^{12}(\lambda_1^1)L^{13}L^{14}L^{23}L^{24} &= R^{12}(\lambda_1^1)L^{13}L^{23}L^{14}L^{24} \\ &=: L^{13}L^{23}R^{12}(\lambda_1^2) : L^{14}L^{24} \\ &= L^{13}L^{23}R^{12}(\lambda_2^1)L^{14}L^{24}, \\ &= L^{23}L^{13} : L^{24}L^{14}R^{12}(\lambda_2^2) : \\ &=: L^{23}L^{24}L^{13}L^{14}R^{12}(\lambda_2^2) : \end{aligned}$$

where the pedices on  $\lambda$  indicate that the functions are taken from the first or second component of  $A_R \tilde{\otimes} A_R$ . Since  $A_R \tilde{\otimes} A_R$  is in the tensor product  $A_R \otimes_{M_{\mathfrak{h}^*}} A_R$  we can replace  $\lambda_2^1$  with  $\lambda_1^2$  in the

equation.

We have then checked that

$$R^{12}(\lambda_1^1)L^{13}L^{14}L^{23}L^{24} =: L^{23}L^{24}L^{13}L^{14}R^{12}(\lambda_2^2) :$$

so the proposition is proved.  $\square$

We define the counit  $\epsilon : A_R \rightarrow D_{\mathfrak{h}}$  by the formula:

$$\epsilon(L_{\alpha\beta}) = \delta_{\alpha\beta}Id_{V_\alpha} \otimes T_\alpha^{-1},$$

$$\epsilon((L^{-1})_{\alpha\beta}) = \delta_{\alpha\beta}Id_{V_\alpha} \otimes T_\alpha.$$

Similarly to the coproduct  $\epsilon$  annihilates the relations 2 and 3 by definition. Relation 4 reduces to proving the following:

$$(\sum R^{12}(\lambda)(Id_{V_\alpha} \otimes Id_{V_\beta})) \otimes T_{\alpha+\beta}^{-1} = (\sum (Id_{V_\alpha} \otimes Id_{V_\beta})R^{12}(\lambda)) \otimes T_{\alpha+\beta}^{-1},$$

but  $R$  has zero weight, so the equation is satisfied.

**Proposition 29.** [EV2, Proposition 4.3] *The counit  $\epsilon$  satisfies the counit axiom  $(\epsilon \otimes Id) \circ \Delta = (Id \otimes \epsilon) \circ \Delta = Id$  for  $A_R$ .*

Combining Proposition 28 and 29, we therefore have that  $A_R$  is an  $\mathfrak{h}$ -biequivariant bialgebroid, i.e., it is an  $\mathfrak{h}$ -bialgebroid and we have a pair of commuting actions of  $\mathfrak{h}$  on  $A_R$  that behave well with the moment maps ([EV2, chapter 5]). We call it the dynamical quantum group corresponding to  $R$ .

To have an  $\mathfrak{h}$ -Hopf algebroid we need an antipode on  $A_R$ .

**Definition 30.** An invertible zero weight matrix function  $R$  is *rigid* if the element  $L \in End(V) \otimes A_R$  is strongly invertible.

Consider  $X \in B \otimes A$ , with  $A, B$  algebras with unit and  $i(X)$  the inverse, let  $I$  be the group freely generated by  $i, i_*$  with  $i^2 = i_*^2 = 1$ . The element  $X$  is said to be strongly invertible if  $\forall g \in I$  the element  $g(X)$  is well defined.

The following proposition holds:

**Proposition 31.** [EV2, Proposition 4.4]  *$R$  is rigid if and only if  $A_R$  admits an antipode  $S$  such that  $S(L) = L^{-1}$ . In this case,  $S_{2n}(L) = (i^*i)^n(L)$  and  $S^{2n+1}(L) = i(i^*i)^n(L)$ .*

*In particular,  $S(L^{-1}) = i^*i(L)$ .*

Consequently, under the assumption of rigidity,  $A_R$  is an  $\mathfrak{h}$ -Hopf algebroid.

Although  $A_R$  is an  $\mathfrak{h}$ -Hopf algebroid, for a generic  $R$  rigid zero weight function this algebra does not have interesting dynamical representations, however in the case of  $R$  a dynamical quantum  $R$ -matrix the category  $Rep(R)$  is nontrivial, and so is  $Rep(A_R)$ .

### 3.2.3 The dynamical representation

Suppose  $W$  is a diagonalizable  $\mathfrak{h}$ -module, then we define  $D_{\mathfrak{h},W}^\alpha \subset \text{Hom}_{\mathbb{C}}(W, W \otimes D_{\mathfrak{h}})$  to be the space of all difference operators on  $\mathfrak{h}^*$  with coefficients in  $\text{End}_{\mathbb{C}}(W)$  and weight  $\alpha$  with respect to the action of  $\mathfrak{h}$  in  $W$ .

Define  $D_{\mathfrak{h},W} := \bigoplus_{\alpha} D_{\mathfrak{h},W}^\alpha$  algebra with weight decomposition  $D_{\mathfrak{h},W} = \bigoplus_{\alpha,\beta} (D_{\mathfrak{h},W})_{\alpha\beta}$  defined by

$$(D_{\mathfrak{h},W})_{\alpha\beta} := \{gT_\beta^{-1} : g \in \text{Hom}_{\mathbb{C}}(W, W \otimes M_{\mathfrak{h}^*}) \text{ of weight } \beta - \alpha\},$$

and moment maps  $\mu_r(f(\lambda)) = f(\lambda)$  and  $\mu_l(f(\lambda)) = f(\lambda - \gamma h)$ , where  $f(\lambda - \gamma h)w = f(\lambda - \gamma\mu)w$  if  $w \in W(\mu)$ .

**Lemma 32.** [EV2, Lemma 4.3] *There is a natural embedding of  $\mathfrak{h}$ -algebras  $D_{\mathfrak{h},W} \tilde{\otimes} D_{\mathfrak{h},U} \rightarrow D_{\mathfrak{h},W \otimes U}$ , given by the formula  $fT_\beta \otimes gT_\delta \rightarrow (f \bar{\otimes} g)T_\delta$ . This embedding is an isomorphism if  $W, U$  are finite-dimensional.*

The product  $f \bar{\otimes} g$  is defined in 1.

**Definition 33.** Let  $A$  be an  $\mathfrak{h}$ -algebra, a *dynamical representation* of  $A$  is a diagonalizable  $\mathfrak{h}$ -module  $W$  endowed with an  $\mathfrak{h}$ -algebra homomorphism  $\pi_W : A \rightarrow D_{\mathfrak{h},W}$ .

A homomorphism of dynamical representation of  $A$  is a map  $\varphi \in \text{Hom}_{\mathbb{C}}(W_1, W_2 \otimes M_{\mathfrak{h}^*})$  such that  $\varphi \circ \pi_{W_1}(x) = \pi_{W_2}(x) \circ \varphi$  for all  $x \in A$ .

We would now like to prove the following:

**Proposition 34.** [EV2, Proposition 4.6] *The tensor categories  $\text{Rep}(A_R)$  and  $\text{Rep}(R)$  are equivalent.*

To do so we introduce a few results on  $\text{Rep}(A_R)$ .

Let  $A$  be an  $\mathfrak{h}$ -Hopf algebroid, if  $(W, \pi_W)$  is a dynamical representation of  $A$  we denote by  $\pi_W^0$  the map  $\pi_W^0 : A \rightarrow \text{Hom}(W, W \otimes M_{\mathfrak{h}^*})$  given by  $\pi_W^0(x)w = \pi_W(x)w$  for all  $w \in W$ , i.e., the difference operator  $\pi_W$  restricted to constant functions.

**Definition 35.** Let  $(W, \pi_W)$  be a dynamical representation of  $A$ , the *right dual representation* to  $W$  is  $(W^*, \pi_{W^*})$ , where  $W^*$  is the  $\mathfrak{h}$ -graded dual to  $W$  and

$$\pi_{W^*}^0(x)(\lambda) = \pi_W^0(S(x))(\lambda + \gamma h - \gamma\alpha)^t \quad \forall x \in A_{\alpha\beta}.$$

The *left dual representation* to  $W$  is the pair  $({}^*W, \pi_{{}^*W})$  with  ${}^*W = W^*$  and

$$\pi_{{}^*W}^0(x)(\lambda) = \pi_W^0(S^{-1}(x))(\lambda + \gamma h - \gamma\alpha)^t \quad \forall x \in A_{\alpha\beta}.$$

**Proposition 36.** [EV2, Proposition 4.1] *The right and left dual representation define dynamical representations of  $A$ . Moreover, if  $A(\lambda) : W_1 \rightarrow W_2$  is a morphism of dynamical representations, then  $A^*(\lambda) := A(\lambda + \gamma h)^t$  defines a morphism  $W_2^* \rightarrow W_1^*$  and  ${}^*W_2 \rightarrow {}^*W_1$ .*

Let  $R : \mathfrak{h}^* \rightarrow \text{End}(V \otimes V)$  be a meromorphic function, from the previous proposition and the results on  $A_R$  one has the following:

**Lemma 37.** [EV2, Lemma 3.4, 3.5] *Let  $W$  be a representation of  $R$ , the right and left dual representations of  $R$  are representations of  $R$ . If  $W$  has finite dimensional weight subspaces then  ${}^*(W^*) = ({}^*W)^* = W$ . If  $A : W_1 \rightarrow W_2$  is a homomorphism of representations of  $R$ , then the linear map  $A^*(\lambda) := A(\lambda + \gamma h^1)^t = A^t(\lambda - \gamma h^1)$  is a homomorphism of representations  $W_2^* \rightarrow W_1^*$  and  ${}^*W_2 \rightarrow {}^*W_1$  when these representations are defined.*

Consider a meromorphic function  $R$  and the  $\mathfrak{h}$ -algebra  $A_R$ , we can prove Proposition 34:

*Proof.* Define  $\Gamma : Rep(A_R) \rightarrow Rep(R)$  the functor given by the identity on vector spaces and

$$L_{\Gamma(W)} = \pi_W^0(L).$$

Define the functor  $\Gamma^{-1} : Rep(R) \rightarrow Rep(A_R)$  as the identity on vector spaces and

$$\pi_{\Gamma^{-1}(W)}^0(L) = L_W.$$

The two functors are inverse to each other and preserve the tensor structure.  $\square$

### 3.3 Fusion and exchange construction

In this section we introduce a way to construct solutions of the QDYBE starting from classical representation theory of Lie algebras, see [ES].

#### 3.3.1 Fusion operators

Let  $\mathfrak{g}$  be a simple finite dimensional complex Lie algebra with polar decomposition  $\mathfrak{g} = \mathfrak{n}_- \oplus \mathfrak{h} \oplus \mathfrak{n}_+$ . Let  $V$  be a finite dimensional  $\mathfrak{g}$ -module with weight decomposition  $V = \bigoplus_{\nu \in \mathfrak{h}^*} V[\nu]$ , we denote  $M_\lambda$  the Verma module over  $\mathfrak{g}$  with highest weight  $\lambda \in \mathfrak{h}^*$ , i.e.,  $M_\lambda = U(\mathfrak{g}) \otimes_{U(\mathfrak{b})} \mathbb{C}_\lambda$  with  $\mathfrak{b}$  the Borel subalgebra,  $x_\lambda$  its highest weight vector and  $x_\lambda^*$  the lowest weight vector of the dual module.

Given  $\mu, \lambda \in \mathfrak{h}^*$  consider an intertwining operator

$$\Phi : M_\lambda \rightarrow M_\mu \otimes V,$$

define the *expectation value* of  $\Phi$  as

$$\langle \Phi \rangle = x_\mu^*(\Phi x_\lambda) \in V[\lambda - \mu].$$

**Proposition 38.** [ES, Proposition 2.2] If  $M_\mu$  is irreducible the map  $\text{Hom}_{\mathfrak{g}}(M_{\mu+\nu}, M_\mu \otimes V) \rightarrow V[\nu]$  given by  $\Phi \rightarrow \langle \Phi \rangle$  is an isomorphism.

This allows us to define for any  $v \in V[\nu]$  the intertwining operator  $\Phi_\lambda^v : M_\lambda \rightarrow M_{\lambda-\nu} \otimes V$  such that  $\langle \Phi_\lambda^v \rangle = v$ .

Consider now  $V, W$  finite dimensional  $\mathfrak{g}$ -modules, let  $v \in V$  and  $w \in W$  be homogeneous vectors of weight  $wt(v), wt(w)$  respectively. Let  $\lambda \in \mathfrak{h}^*$  then define the composition

$$\Phi_\lambda^{w,v} := (\Phi_{\lambda-wt(v)}^w \otimes 1) \Phi_\lambda^v : M_\lambda \rightarrow M_{\lambda-wt(v)-wt(w)} \otimes W \otimes V.$$

So  $\Phi_\lambda^{w,v} \in \text{Hom}(M_\lambda, M_{\lambda-wt(v)-wt(w)} \otimes W \otimes V)$  and, by the previous result, there exists a unique  $u \in W \otimes V[wt(v) + wt(w)]$  such that  $\Phi_\lambda^u = \Phi_\lambda^{w,v}$ . This defines an  $\mathfrak{h}$ -linear operator

$$J_{WV}(\lambda) : W \otimes V \rightarrow W \otimes V$$

given by  $J_{WV}(\lambda)(w \otimes v) = \langle \Phi_\lambda^{w,v} \rangle$ .

**Definition 39.** The operator  $J_\lambda^{w,v}$  is called the *fusion operator* of  $V$  and  $W$ .

**Proposition 40.** [ES, Proposition 2.3] Let  $V, W$  be finite dimensional  $\mathfrak{g}$ -modules, then the following hold:

- $J_{WV}(\lambda)$  is a rational function of  $\lambda$ .
- $J_{WV}(\lambda)$  is strictly lower triangular, i.e.,  $J_{WV}(\lambda) = 1 + N$  with  $N$  sum of terms with strictly positive weight in the second component. In particular  $J_{WV}(\lambda)$  is invertible.
- Let  $U, V, W$  be finite dimensional  $\mathfrak{g}$ -modules, the fusion operators satisfy the dynamical 2-cocycle condition:

$$J_{U \otimes W, V}(\lambda)(J_{UW}(\lambda - h^3) \otimes 1) = J_{U, W \otimes V}(\lambda)(1 \otimes J_{WV}(\lambda)).$$

### 3.3.2 Exchange operators

**Definition 41.** The *exchange operator* on  $V$  and  $W$ , finite dimensional  $\mathfrak{g}$ -modules, is defined as

$$R_{VW}(\lambda) := J_{VW}(\lambda)^{-1} J_{WV}^{12}(\lambda) : V \otimes W \rightarrow V \otimes W,$$

where  $J^{12} = \tau J \tau$ , for  $\tau(x \otimes y) = y \otimes x$ .

The operator  $R_{VW}$  tells us how to exchange the intertwining operators, if  $\Phi_\lambda^{w,v} = \tau \sum_i \Phi_\lambda^{w_i, v_i}$  then  $R_{VW}(\lambda)(v \otimes w) = \sum_i v_i \otimes w_i$ .

**Proposition 42.** For  $U, V, W$  finite dimensional  $\mathfrak{g}$ -modules the exchange matrices satisfy:

$$R^{VW}(\lambda - h^3) R^{VU}(\lambda) R^{WU}(\lambda - h^1) = R^{WU}(\lambda) R^{VU}(\lambda - h^2) R^{VW}(\lambda).$$

In particular  $R_{VV}$  is a solution of the QDYBE.

*Proof.* Let  $u \in U, v \in V, w \in W$  be homogeneous elements and

$$\Phi_\lambda^{u,v,w} = \Phi_{\lambda - wt(v) - wt(w)}^u \circ \Phi_{\lambda - wt(v)}^w \circ \Phi_\lambda^v.$$

Define  $\sigma : U \otimes W \otimes V \rightarrow V \otimes W \otimes U$  as  $\sigma(x \otimes y \otimes z) = z \otimes y \otimes x$ , then we can write  $\Phi_\lambda^{u,v,w} = \sum_i \sigma \Phi_\lambda^{v_i, w_i, u_i}$  in two different ways as given by the following diagram:

$$\begin{array}{ccccc} U \otimes V \otimes W & \longrightarrow & V \otimes U \otimes W & & \\ \nearrow & & \searrow & & \\ U \otimes W \otimes V & & & & V \otimes W \otimes U \\ \searrow & & \nearrow & & \\ & W \otimes U \otimes V & \longrightarrow & W \otimes V \otimes U & \end{array}$$

Using the two ways we obtain the relation on the exchange matrices.

□

**Example 43.** [ES, Example 1] Consider  $\mathfrak{g} = sl_2$  with generators  $e, f, h$  and  $V = \mathbb{C}^2$  with basis  $\{x, y\}$ . The action of  $\mathfrak{g}$  on  $V$  is given by taking:

$$e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Using the triangularity property on  $J_{V,V}(\lambda)$  one gets that

$$J_{V,V}(\lambda)(x \otimes x) = x \otimes x, \quad J_{V,V}(\lambda)(y \otimes y) = y \otimes y, \quad J_{V,V}(\lambda)(y \otimes x) = y \otimes x.$$

We need to compute  $J_{V,V}(\lambda)(x \otimes y)$ .

Consider  $\Phi_{\lambda+1}^x(x_{\lambda+1}) = x_{\lambda+1} \otimes x$  and  $\Phi_{\lambda}^y(x_{\lambda}) = x_{\lambda+1} \otimes y + g(\lambda)fx_{\lambda+1} \otimes x$ , we use the intertwining property to determine  $g(\lambda)$ :

$$\begin{aligned} 0 &= \Phi_{\lambda}^y(ex_{\lambda}) = (e \otimes 1 + 1 \otimes e)\Phi_{\lambda}^y(x_{\lambda}) = \\ &= x_{\lambda+1} \otimes x + g(\lambda)efx_{\lambda+1} \otimes x = \\ &= x_{\lambda+1} \otimes x + (1 + \lambda)g(\lambda)x_{\lambda+1} \otimes x, \end{aligned}$$

so  $g(\lambda) = -\frac{1}{1+\lambda}$ . Then

$$\Phi_{\lambda}^{x,y}(x_{\lambda}) = x_{\lambda} \otimes (x \otimes y - \frac{1}{\lambda+1})y \otimes x.$$

So we get

$$J_{V,V}(\lambda) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & -\frac{1}{\lambda+1} & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

and

$$R_{V,V}(\lambda) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & -\frac{1}{\lambda+1} & 0 \\ 0 & \frac{1}{\lambda+1} & 1 - \frac{1}{(\lambda+1)^2} & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

We can check that  $R_{V,V}$  is a solution of the QDYBE

$$R^{12}(\lambda - h^3)R^{13}(\lambda)R^{23}(\lambda - h^1) = R^{23}(\lambda)R^{13}(\lambda - h^2)R^{12}(\lambda).$$

It is enough to check that the relation holds on the basis of  $V \otimes V \otimes V$ , we start with the easy case:

$$\begin{aligned} R^{12}(\lambda - h^3)R^{13}(\lambda)R^{23}(\lambda - h^1)(x \otimes x \otimes x) &= \\ &= x \otimes x \otimes x = \\ &= R^{23}(\lambda)R^{13}(\lambda - h^2)R^{12}(\lambda)(x \otimes x \otimes x) \end{aligned}$$

and

$$\begin{aligned} R^{12}(\lambda - h^3)R^{13}(\lambda)R^{23}(\lambda - h^1)(y \otimes y \otimes y) &= \\ &= y \otimes y \otimes y = \\ &= R^{23}(\lambda)R^{13}(\lambda - h^2)R^{12}(\lambda)(y \otimes y \otimes y) \end{aligned}$$

Consider  $x \otimes x \otimes y$ , remember that  $x$  and  $y$  have weight 1, -1, so we have:

$$\begin{aligned}
& R^{12}(\lambda - h^3)R^{13}(\lambda)R^{23}(\lambda - h^1)(x \otimes x \otimes y) = \\
& = R^{12}(\lambda - h^3)R^{13}(\lambda)(x \otimes x \otimes y + \frac{1}{\lambda}x \otimes y \otimes x) = \\
& = R^{12}(\lambda - h^3)[x \otimes x \otimes y + \frac{1}{\lambda+1}y \otimes x \otimes x + \frac{1}{\lambda}x \otimes y \otimes x] = \\
& = x \otimes x \otimes y - \frac{1}{\lambda(\lambda+1)}x \otimes y \otimes x + \frac{1}{\lambda+1}(1 - \frac{1}{\lambda^2})y \otimes x \otimes x + \\
& + \frac{1}{\lambda}x \otimes y \otimes x + \frac{1}{\lambda^2}y \otimes x \otimes x = \\
& = x \otimes x \otimes y + \frac{1}{\lambda+1}x \otimes y \otimes x + \frac{1}{\lambda}y \otimes x \otimes x
\end{aligned}$$

and

$$\begin{aligned}
& R^{23}(\lambda)R^{13}(\lambda - h^2)R^{12}(\lambda)(x \otimes x \otimes y) = \\
& = R^{23}(\lambda)R^{13}(\lambda - h^2)(x \otimes x \otimes y) = \\
& = R^{23}(\lambda)(x \otimes x \otimes y + \frac{1}{\lambda}y \otimes x \otimes x) = \\
& = x \otimes x \otimes y + \frac{1}{\lambda+1}x \otimes y \otimes x + \frac{1}{\lambda}y \otimes x \otimes x
\end{aligned}$$

Similarly for any element of the basis of  $V \otimes V \otimes V$  the relation holds, i.e.,  $R_{VV}$  is a dynamical  $R$ -matrix.

## 4 The classical dynamical case

Quantization of the classical Yang-Baxter equation (CYBE) led to the theory of quantum groups, its dynamical analogue is the classical dynamical Yang-Baxter equation (CDYBE).

In this section we follow [S] and [EV1] to introduce some basic notions linked to the CDYBE and give a geometric meaning to its solutions, the dynamical  $r$ -matrices, using the notion of dynamical Poisson groupoid, the dynamical analogue of Poisson-Lie groups.

Similarly to the QYBE, let  $V$  be a finite dimensional semisimple  $\mathfrak{h}$ -module and  $R : \mathfrak{h}^* \rightarrow \text{End}(V \otimes V)$  of the form  $R = 1 - \gamma r + O(\gamma^2)$  a solution of the QDYBE of step  $\gamma$ , then  $r : \mathfrak{h}^* \rightarrow \text{End}(V \otimes V)$  satisfies the CDYBE.

The function  $r$  is the *classical limit* of  $R$ , and  $R$  is a *quantization* of  $r$ .

### 4.1 The classical dynamical Yang-Baxter equation

Let  $\mathfrak{g}$  be a Lie algebra and  $r \in \mathfrak{g} \otimes \mathfrak{g}$ , then:

**Definition 44.** The *classical Yang-Baxter equation* (CYBE) is given by

$$[r^{12}, r^{13}] + [r^{12}, r^{23}] + [r^{13}, r^{23}] = 0.$$

Solutions of the CYBE are called  $r$ -matrices.

Let  $\mathfrak{h} \subset \mathfrak{g}$  be a subalgebra, an element  $x \in \mathfrak{g} \otimes \mathfrak{g}$  is said to be  $\mathfrak{h}$ -invariant if

$$[k \otimes 1 + 1 \otimes k, x] = 0 \quad \forall k \in \mathfrak{h}.$$

For  $x \in \mathfrak{g}^3$  define

$$\text{Alt}(x) = x^{123} + x^{231} + x^{312}.$$

**Definition 45.** The *classical dynamical Yang-Baxter equation* (CDYBE) is the differential equation for an  $\mathfrak{h}$ -invariant holomorphic function  $r : D \rightarrow \mathfrak{g} \otimes \mathfrak{g}$ , with  $D \subset \mathfrak{h}^*$ , given by

$$\text{Alt}(dr) + [r^{12}, r^{13}] + [r^{12}, r^{23}] + [r^{13}, r^{23}] = 0.$$

Here  $dr : D \rightarrow \mathfrak{g}^3$  is considered as a holomorphic function  $dr(\lambda) = \sum_i x_i \otimes \frac{\partial r^{23}}{\partial x_i}(\lambda)$ , for any basis  $(x_i)$  of  $\mathfrak{h}$ .

Explicitly we have

$$\text{Alt}(dr) = \sum_i x_i^1 \frac{\partial r^{23}}{\partial x_i} + \sum_i x_i^2 \frac{\partial r^{31}}{\partial x_i} + \sum_i x_i^3 \frac{\partial r^{12}}{\partial x_i}.$$

**Definition 46.** A function  $r : D \rightarrow \mathfrak{g} \otimes \mathfrak{g}$  satisfying the CDYBE is called *dynamical  $r$ -matrix*.

In [E] we find the following conjecture:

Any classical dynamical  $r$ -matrix can be quantized.

This has been proved in the non-dynamical case by Etingof P. and Kazhdan D., and in the dynamical case for skew-symmetric solutions with some additional assumptions by Xu P..

## 4.2 Dynamical Poisson groupoids

We now introduce the classical limit of the notion of dynamical quantum groups, i.e., Poisson groupoids. A more detailed analysis is given in [EV1] and [W].

**Remark 47.** We will only consider groupoids built on small categories, so objects and morphisms form a set.

**Definition 48.** A *groupoid* is a small category in which every morphism is invertible.

We will consider groupoids given by:

- a set  $X$  (denoting the groupoid itself) of arrows
- a set  $P$  of objects
- two surjective maps  $s, t : X \rightarrow P$  called source and target
- a composition map  $m : \{(a, b) \in X \times X : s(a) = t(b)\} \rightarrow X$
- an injective map  $E : P \rightarrow X$  called identity map

satisfying various conditions. In particular there exists an involution  $i : X \rightarrow X$  such that  $s(i(x)) = t(x)$ ,  $s(x) = t(i(x))$ ,  $m(x, i(x)) = id_{t(x)}$  and  $m(i(x), x) = id_{s(x)}$ .

The notion of groupoid generalizes that of group, in particular a groupoid with only one object is a group.

**Definition 49.** A *Lie groupoid* is a groupoid equipped with a smooth structure, i.e., the set of objects and morphisms are both smooth manifolds and the structure maps are smooth.

**Definition 50.** [W][EV1] A *Poisson groupoid* is a Lie groupoid  $X$  endowed with a poisson bracket such that the graph of the composition map is a coisotropic submanifold of  $X \times X \times \bar{X}$ , i.e., the smooth functions vanishing on it are closed under Poisson bracket.

Here  $\bar{X}$  indicates the opposite Poisson manifold to  $X$ .

We can now introduce a special class of Poisson groupoids which we will call dynamical Poisson groupoids.

Let  $G$  be a Lie group and  $\mathfrak{g}$  its Lie algebra,  $H \subset G$  a connected Lie subgroup with Lie algebra  $\mathfrak{h}$ . Define the coadjoint action as for any  $h \in H$ ,  $x \in \mathfrak{h}$  and  $\mu \in \mathfrak{h}^*$ :

$$Ad^* : H \rightarrow Aut(\mathfrak{h}^*)$$

$$Ad^*(h)(\mu)(x) := \mu(Ad(h^{-1})(x)).$$

Let  $U \subset \mathfrak{h}^*$  be an open subset invariant under the coadjoint action.

Consider the manifold  $X(G, H, U) := U \times G \times U$ , it has a natural structure of Lie groupoid given by taking  $X = X(G, H, U)$ ,  $P = U$ ,  $s(u_1, g, u_2) = u_2$ ,  $t(u_1, g, u_2) = u_1$ ,  $E(u) = (u, 1, u)$  and  $m((u_1, f, u_2), (u_2, g, u_3)) = (u_1, fg, u_3)$ , while the inversion is defined by using inversion on  $G$  as  $i(u_1, g, u_2) = (u_2, g^{-1}, u_1)$ . This groupoid is the direct product of the trivial groupoid with base  $U$  and the group  $G$ .

On  $X$  we consider a left and right commuting actions of  $H$  defined as:

$$l(h)(u_1, g, u_2) = (Ad^*(h)(u), hg, u_2),$$

$$r(h)(u_1, g, u_2) = (u_1, gh, Ad^*(h^{-1})(u_2)).$$

We can also define the diagonal action of  $H$  on  $X \times X$  as  $\Delta(h)(x, y) = (r(h)^{-1}x, l(h)y)$ , which preserves the composition map.

For any  $a \in \mathfrak{h}$  consider the functions on  $X$  defined by  $a_1(u_1, g, u_2) = a(u_1)$  and  $a_2(u_1, g, u_2) = a(u_2)$ . Recall that given  $(M, \omega)$  a symplectic manifold and  $H$  a Lie group, then a symplectic action of  $H$  on  $M$  is said to be a *Hamiltonian action* if there exists a moment map  $\mu : M \rightarrow \mathfrak{h}^*$ .

**Definition 51.** The pair  $(X, \{\})$ , where  $\{\}$  is a Poisson bracket on  $X$ , is a *dynamical Poisson groupoid* if the following holds:

- the actions  $l, r$  are Hamiltonian, with  $t, s$  being their moment maps, and for any  $a, b \in \mathfrak{h}$  one has  $\{a_1, b_2\} = 0$ .
- Let  $X \bullet X := X \times X // \Delta(H)$  be the Hamiltonian reduction of  $X \times X$  by the diagonal actions and  $\bar{m} : X \bullet X \rightarrow X$  the reduction of the composition map by  $H$ . Then  $\bar{m}$  is a Poisson map.

**Remark 52.** If  $H = 1$  a dynamical Poisson groupoid is a Poisson-Lie group.

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